



Important Notice
National Securities Clearing Corporation

A#:	8942
P&S #:	8515
Date:	12/18/2020
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, December 17, 2020

Global Trust Company/SSB-Morganstanley No. 3680

Last Trade Date, December 18, 2020

Quasar Distributors, LLC/Advantus Funds No. 3364

UMB Distribution Services, LLC/Queen Road Funds No. 4884

Last Trade Date, December 22, 2020

Ultimus Funds Distributors, LLC/Cornerstone Capital No. 4317

First National Capital Markets, Inc. No. 5371

Ultimus Fund Distributors, LLC/Hedeker Funds No. 8381

- The following firm will no longer participate in the Trade Comparison, Trade Recording, NYSE Listed, Obligation Warehouse and ACATS services, as detailed below:

Last Trade Date, December 31, 2020

Natwest Markets Securities Inc. No. 0425

NYSE Alpha Identifier ABN

OTC Alpha Identifier: RBSG

- The following firm will begin participation in the MF Info Xchange service, as detailed below:

First Trade Date, December 18, 2020:

Reliance Trust Company No. 5962

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- The following firms will begin participation in the MF Profile service, as detailed below:

First Trade Date, December 22, 2020:

Ultimus Fund Distributors, LLC/Vela Funds	No.	2420
Quasar Distributors, LLC/1919 Funds	No.	3116

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, December 17, 2020

SS&C Technologies, Inc./Bridge Seniors Housing Fund III International-A LP	No.	0MUY
SS&C Technologies, Inc./Bridge Seniors Housing III LP	No.	0MUZ
NESF Investment Services, LLC/Blueprint 2020 Opportunity Zone Fund, LLLP	No.	0MV6

First Trade Date, December 22, 2020

US Bancorp Fund Services LLC/Orion Offshore Fund Ltd.	No.	0MVA
US Bancorp Fund Services LLC/Orion Onshore Fund LP	No.	0MVC
Black Creek Exchange LLC/BC Exchange Northgate DST	No.	0MVD
Black Creek Exchange LLC/BC Exchange Yale Village DST	No.	0MVE
Black Creek Exchange LLC/BC Exchange Preston Sherry DST	No.	0MVF
Black Creek Exchange LLC/BC Exchange Salt Pond	No.	0MVG
Black Creek Exchange LLC/BC Exchange Stafford Grove DST	No.	0MVH

First Trade Date, December 23, 2020

Black Creek Exchange LLC/BC Exchange Suniland DST	No.	0MVI
Black Creek Exchange LLC/BC Exchange Perimeter DST	No.	0MVJ
Black Creek Exchange LLC/BC Exchange City View DST	No.	0MVK
Black Creek Exchange LLC/BC Exchange Clayton Commerce Center DST	No.	0MVL

- The following firm will begin participation in Insurance Processing Services, as detailed below:

First Trade Date, December 18, 2020

Fuerst Financial Group, Inc.	No.	2797
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- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:

First Trade Date, December 28, 2020:

Global Trust Company/SSB-Williamblair	No.	2560
Contact: Arvind Kesireddy		
Phone: (781) 970-5050		

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Executing Broker Name Change, Effective First Trade Date, December 18, 2020</u>							
From: Suntrust Investment Services, Inc.	NFSC	0226	SSIN	✓	✓	✓	✓
To: Truist Investment Services, Inc.	NFSC	0226	SSIN	✓	✓	✓	✓
<u>Clearing Broker Change, Effective First Trade Date, December 21, 2020</u>							
From: Fog Equities LLC	WEDB	0103	FOGS	✓			
To: Fog Equities LLC	WSGD	8199	FOGS	✓			
<u>Add First Trade Date, December 17, 2020</u>							
SRT Securities LLC	VLOX	3856	IAEA	✓			
<u>Add First Trade Date, December 18, 2020</u>							
Rice Financial Products Company	RBCD	0235	RICM	✓	✓	✓	✓

If you have any questions regarding this notice, please e-mail NSCCAA@DTCC.com.