



Important Notice
National Securities Clearing Corporation

A#:	8938
P&S #:	8511
Date:	12/04/2020
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, December 03, 2020
Vesta Hospitality Fund II, LLC No. 2820

- The following firm will change their name only, as detailed below:
First Trade Date, December 08, 2020:
From: HRT Financial LLC No. 0369
To: HRT Financial LP No. 0369

- The following firm will begin participation in the MF Info Xchange service, as detailed below:
First Trade Date, December 02, 2020:
Forside Fund Services, LLC/Sharespost 100 Fund No. 7942

- The following firm will begin participation in Insurance Processing Services, as detailed below:
First Trade Date, December 10, 2020
Calchoice Financial Inc. No. 1766

- The following additional number has been assigned to ALPS Distributors, Inc. (#5642) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:
First Trade Date, December 09, 2020:
ALPS Distributors, Inc./Brown Advisory Funds PLC No. 2794
Contact: Samuel Archer
Phone: +44-207-399-4147

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- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Name Change, Effective First Trade Date, December 08, 2020</u>							
From: HRT Financial LLC	HRTF	0369	HRTF	✓			
To: HRT Financial LP	HRTF	0369	HRTF	✓			
<u>Delete Last Trade Date, November 30, 2020</u>							
Sagetrader, LLC	IBFS	0824	SAGC	✓			
<u>Delete Last Trade Date, December 03, 2020</u>							
Berghoff & Company, Inc.	RBCD	0235	BEMC			✓	
<u>Add First Trade Date, December 02, 2020</u>							
A.G.P. / Alliance Global Partners	RBCD	0235	ALGP	✓	✓	✓	✓
<u>Add First Trade Date, December 03, 2020</u>							
Velocity Clearing, LLC	QNTX	0294	VCBB	✓			
Velocity Clearing, LLC	QNTX	0294	VCBY	✓			
Velocity Clearing, LLC	QNTX	0294	VCFS	✓			
<u>Add First Trade Date, December 04, 2020</u>							
Viewtrade Securities, Inc.	LGNT	0052	VIEW	✓	✓	✓	✓
<u>Add First Trade Date, December 07, 2020</u>							
Parkside Securities, Inc.	PCPT	8460	PKSD	✓			

If you have any questions regarding this notice, please e-mail NSCCAA@DTCC.com.