



<b>B #:</b>	13108-20
<b>Date:</b>	03/18/2020
<b>To:</b>	All Participants
<b>Category:</b>	Operations /Reorganizations /Dividends /Settlement
<b>From:</b>	Asset Services – Corporate Actions ClaimConnect™ application
<b>Attention:</b>	Operations Managers/Cashiers/Officers
<b>Subject:</b>	ClaimConnect™ Application—Upcoming Release and API Marketplace Launch

As we continue to move forward with the development and subsequent release of the Minimum Viable Product (MVP) for the ClaimConnect™ application, we wanted to provide an update regarding the progress made to date and also share information about the [launch](#) of DTCC's new [Application Programming Interface \(API\) Marketplace](#). The Marketplace is a centralized location for all existing and future DTCC APIs. It provides clients with access to comprehensive information regarding DTCC's APIs and allows developers to get insight into the requirements to interact with these, which includes the ClaimConnect™ API. A step-by-step process of how to request access to the Marketplace will be provided below.

In terms of the progress made to date, as indicated via Important Notice [B12411-19](#), we have released the Proof of Concept (PoC) in Q1 of 2019, a second and third release with new functionality during Q2 and Q3 of 2019, and are now getting ready to move a fourth release on April 8<sup>th</sup> 2020. Several firms have been testing the existing functionality and providing valuable feedback that we've been able to incorporate as part of every release. Today, clients can see the full lifecycle of a claim, from claim submission, claim matching and validation, and ultimately claim settlement via a Security Payment Order (SPO).

In addition, as part of release four, ClaimConnect™ will be rolling out a client-facing API to allow for direct connectivity automation. ClaimConnect™ clients will be able to submit, update, and query claims via this means. As mentioned above, all the relevant information regarding APIs is now accessible via the [DTCC API Marketplace](#). Firms looking to leverage the ClaimConnect™ API need to work with the Super Access Coordinators (SACs) at their end to gain access **first** to the DTCC API Marketplace, and **second** to the ClaimConnect™ application and associated roles.

## **How to Access the DTCC API Marketplace**

The ClaimConnect™ API specification contains both public and private information. Information contained in the specification's Overview section is public and viewable by anyone on the internet. However, to view information contained in the specification's API and Usage sections, authorization is required from the firm's Super Access Coordinator (SAC). Existing SACs looking to permission their users with the appropriate API Marketplace roles must send their request to [Entitlements@dtcc.com](mailto:Entitlements@dtcc.com).

## **DTCC API Marketplace Roles**

The below are the associated roles to the API Marketplace. Access to restricted data (Red documents) should be limited to developers looking to leverage the functional specifications and requirements for the API only. White documents are public and can be accessed by anyone.

- View Green Documents
- View Red Documents
- View White Documents
- View Yellow Documents

## **How to Access the ClaimConnect™ API**

Access to the ClaimConnect™ application's API roles should be directed to the DTCC Cash Claims mailbox at [DTCC CA Claims](#). Once your request is received, a DTCC representative will contact you and coordinate access with the SAC at the firm. A subsequent important notice will be issued in the next couple of weeks with further instructions.

Participants currently testing in the PSE–U region can continue to test and access the application as they do today. However, to accommodate our upcoming release in early April, the testing environment will be unavailable starting on March 23<sup>rd</sup> at 5PM EST through EOD on March 24<sup>th</sup>; testing can resume on March 25<sup>th</sup>.

*\*\*Please note that the process to request access to the ClaimConnect™ Web Application remains the same.*

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## **New Functionality**

As part of Q1 release, Participants will be able to access the following functionality via the PoC:

- Claim Management
  - Enter a Claim
    - **Settle After Match:** Allow claims to settle upon matching
  - CA ID Field
    - Allow users to add the CA ID associated with the claim
  - Claim History—Accordion
    - **Action Event:** Provide users with details regarding the state of a claim at any given point in time.
- Report Center
  - View Reports
  - Download reports
- API functionality
  - Submit new claims
  - Update existing claims
  - Query existing claims

DTCC will be updating the existing User Guide to assist users in navigating the new functionality. To request a copy, please email [DTCCCAClaims@dtcc.com](mailto:DTCCCAClaims@dtcc.com).

## **User Preparedness Checklist to Participate in the PoC**

Firms not signed up to participate in the PoC should follow the steps below to gain access to the application and start testing:

- SACs should get provisioned for the CA Cash Claims roles as needed by contacting the [DTCCCAClaims@dtcc.com](mailto:DTCCCAClaims@dtcc.com) mailbox
  - Once SACs have been provisioned with the new roles by DTCC, they must provision end users at their respective firms.
  - Ensure your firm has access to the DTCC UAT Test region
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- Contact the [DTCCCAClaims@dtcc.com](mailto:DTCCCAClaims@dtcc.com) mailbox to request a User Guide or to request access to the DTCC Learning ClaimConnect™ Learning Center site to find out more

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about the service

- Stay informed for upcoming learning articles to be announced related to API automation for your firm to review

### **Useful links regarding the API Marketplace**

[The API Value Story](#)

[From Where We Stand: Advancing Innovation](#)

Questions about the CA Cash Claims initiative can be directed to your Relationship Manager or to the CA Cash Claims mailbox at [DTCCCAClaims@dtcc.com](mailto:DTCCCAClaims@dtcc.com).

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