



Important Notice
National Securities Clearing Corporation

A#:	8833
P&S #:	8406
Date:	03/27/2020
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, March 31, 2020:

ALPS Distributors, Inc./Sierra Total Return Fund No. 9452

- The following firm will change their name only, as detailed below:

First Trade Date, April 01, 2020

From: Benefit Trust Company/Prime Plan Solutions No. 3694
To: Benefit Trust Company/Institutional Delivery No. 3694

- The following firms will begin participation in the MF Profile service, as detailed below:

First Trade Date, March 26, 2020

Breakwater Group Distribution Services LLC No. 5411

First Trade Date, April 01, 2020

Quasar Distributors, LLC/The Snow Capital Family of Funds No. 4976
Rafferty Capital Markets/Leuthold FDS No. 5656

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, April 01, 2020

Voya Investments Distributor, LLC/Iowa Advisor 529 Plan No. 7065

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, March 27, 2020

Opus Fund Services (USA) LLC/OHP II LP No. 1334
Opus Fund Services (USA) LLC/OHP Ltd. No. 1336

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First Trade Date, March 31, 2020

Sudrania Fund Services Corp/Frontier Balanced Fund	No.	1350
Sudrania Fund Services Corp/Frontier Diversified	No.	1351
Sudrania Fund Services Corp/Frontier Global	No.	1353
Sudrania Fund Services Corp/Frontier Heritage Fund	No.	1354
Sudrania Fund Services Corp/Frontier Long/Short Commodity Fund	No.	1355
Sudrania Fund Services Corp/Frontier Masters	No.	1359
Sudrania Fund Services Corp/Frontier Select Fund	No.	1361

First Trade Date, April 01, 2020

SS&C Technologies, Inc./Crescent Cove Opportunity Fund, LP	No.	1382
SS&C Technologies, Inc./Crescent Cove Opportunity Fund B, LP	No.	1383

- The following firm will begin participation in Insurance Processing services, as detailed below:

First Trade Date, April 01, 2020

IFP Securities, LLC	No.	1316
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- The following firm will begin participation in DTCC Payment aXis and Insurance Processing Services, as detailed below:

First Trade Date, April 01, 2020:

Center Street Securities Inc.	No.	0034
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- The following additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, ACATS Fund/SERV, Networking, MF Daily Price & Rate, and DTCC Payment aXis services, as detailed below:

First Trade Date, April 01, 2020:

Foreside Distribution Services, L.P./Eastspring Investments	No.	1157
Contact: Malo Roban		
Phone: +353 53 9149633		

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, March 27, 2020</u> Ivy Securities, Inc.	ECUT	0100	IVYS	✓			
<u>Add First Trade Date, March 25, 2020</u> Electronic Transaction Clearing, Inc.	ETCC	0873	ETFR	✓			
<u>Add First Trade Date, April 01, 2020</u> Regions Securities LLC	PERS	0443	REGN	✓			

If you have any questions regarding this notice, please E-mail NSCC@DTCC.com.