



B #:	13160-20
Date:	March 28, 2020
To:	Participants and Agents
Category:	Operations
From:	Asset Services Product Management
Attention:	Officer / Operations Managers / business Continuity Managers / Disaster Recovery
Subject:	Temporary Suspension of All DTC Physical Securities Processing

THIS NOTICE SUPERSEDES IMPORTANT NOTICE B#13153-20 distributed on March 26 announcing the re-opening of physical processing, which is no longer accurate.

Due to ongoing concerns related to the COVID19 virus, we have taken the decision to extend the suspension of all physical processing services until, at the earliest, Monday April 6th. DTC requests that Participants and transfer agents not send certificates via messenger, courier services or USPS to DTC until further notice as DTC will not have a mechanism to accept or perform any transaction processing.

All other DTC services remain Business As Usual.

The suspension of physical processing includes:

- All physical Deposits
- Withdrawal & Certificates on Demand (COD)
- New York Window (NYW)
- Envelope Settlement Services (ESS)
- Custody Reorg
- SBA Pooling

For transactions closing while the suspension of physical processing services is in effect, please follow the LOP procedure set forth in the Important Notice B#13099-20 dated March 12, 2020.

All automated and fully electronic DTC processes with FAST transfer agents such as DRS Profile and DWAC will NOT be impacted.

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DTC will continue to monitor the situation and provide Participants and agents with up-to-date information about the status of the physical securities processing services. If you have any questions, please call your relationship manager or send an email to RMsupport@dtcc.com