



Important Notice
National Securities Clearing Corporation

A#:	8837
P&S #:	8410
Date:	04/03/2020
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, April 06, 2020:

BRELF III, LLC	No.	3901
Pyatt Broadmark Management, LLC	No.	9832
Broadmark Real Estate Management II, LLC	No.	9872

- The following firm will change their name only, as detailed below:

First Trade Date, April 07, 2020

From: GMP Securities L.P./CDS	No.	5016
To: RF Securities Clearing L.P./CDS	No.	5016

- The following firm will begin participation in the MF Info Xchange service, as detailed below:

First Trade Date, April 01, 2020

Natixis Distribution, L.P.	No.	5542
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- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:

First Trade Date, April 03, 2020

Quasar Distributors, LLC/Green Square Capital	No.	1372
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, April 02, 2020

SS&C Technologies, Inc./Harbourvest Access-2020 Global Fund Cayman LLC	No.	1387
SS&C Technologies, Inc./Bridge Opportunity Zone Fund III Group	No.	1397

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, ACATS Fund/SERV, Networking, MF Profile and DTCC Payment aXis services, as detailed below:

First Trade Date, April 03, 2020:

Ultimus Fund Distributors, LLC/Blueprint Funds	No.	1433
Contact: Doug Jones		
Phone: (513) 587-3408		

- The following additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, ACATS Fund/SERV, Networking, MF Daily Price & Rate and DTCC Payment aXis services, as detailed below:

First Trade Date, April 07, 2020:

Foreside Distribution Services, L.P./M&G (LUX) Investment Funds 1	No.	4393
Contact: Christophe Docquier		
Phone: +352 2605 9568		

- The following additional number has been assigned to John Hancock Investment Management Distributors LLC (#5582) for the purpose of utilizing Fund/SERV, ACATS Fund/SERV, Networking and DTCC Payment aXis services, as detailed below:

First Trade Date, April 07, 2020:

John Hancock Investment Management Distributors LLC/Manulife Investment Management II (ICAV)	No.	4428
Contact: Michael Heffernan		
Phone: (617) 869-8279		

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, effective</u>							
<u>First Trade Date, April 06, 2020</u>							
From: Meridian Equity Partners, Inc.	VSIN	0595	MERP	✓	✓	✓	✓
To: Meridian Equity Partners, Inc.	RBCD	0235	MERP	✓	✓	✓	✓

**Executing Broker Name Change, effective
First Trade Date, April 03, 2020**

From: Hutchinson, Shockey, Erley & Co.
To: Huntington Securities, Inc.

PERS	0443	HUTC	✓	✓
PERS	0443	HUTC	✓	✓

Delete Last Trade Date, March 31, 2020

BMA Securities, LLC

LGNT	0052	BMAK	✓	✓	✓	✓
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Delete Last Trade Date, April 03, 2020

CAIS Capital LLC

PERS	0443	CAIX				✓
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Delete Last Trade Date, April 06, 2020

Motif Investing, Inc.

PERS	0443	MOTF	✓			
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If you have any questions regarding this notice, please E-mail NSCC@DTCC.com.