



Important Notice

Fixed Income Clearing Corporation - GOV

GOV #:	874-20
Date:	April 07, 2020
To:	Government Securities Division (“GSD”) Members
Category:	Cybersecurity Confirmation
From:	DTCC Chief Security Office, Technology Risk Management
Attention:	Managing Partner/Officer, Operations Officer, Chief Information Security Officer (CISO), Chief Risk Officer (CRO)
Subject:	FICC Information for the Confirmation of a Cybersecurity Program / Compliance to Rule File: SR-FICC-2019-005

The Fixed Income Clearing Corporation (“FICC”) proposed rule change [File Number SR-FICC-2019-005](#) pursuant to Rule 19b-4 under the Securities Exchange Act of 1934 was approved by the Securities and Exchange Commission on December 09, 2019. FICC created a Client Cybersecurity Program to ensure that all its GSD Members have implemented a cybersecurity program designed from a recognized security framework so that such Member’s SMART network and/or other connectivity is adequately protected against cyberattacks.

As part of the rule implementation, each GSD Member’s designated Control Officer (a senior executive that is accountable for overseeing such Member’s cybersecurity program) will receive a DocuSign electronic Confirmation Form on **April 13, 2020** sent by FICC and will be given **180 days** to adhere to the cybersecurity requirements of FICC. As proof of compliance, the Control Officer of each GSD Member must **digitally sign** and submit the Confirmation Form **via DocuSign no later than October 10, 2020**. Please take note that printed confirmations or manually signed confirmation forms will not be accepted.

In addition to the initial Client Cybersecurity Confirmation form, GSD Members will be required to sign an updated Confirmation form once every two years from the time the form was initially sent.

Questions regarding this Important Notice may be addressed to your Relationship Manager.

For more information about DTCC’s Client Cybersecurity Program and for a complete list of accepted standards and/or frameworks please go to: <http://www.dtcc.com/cybersecurity>

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