



B #:	13274-20
Date:	04/08/2020
To:	All Participants
Category:	Operations /Reorganizations /Dividends /Settlement
From:	Asset Services – Corporate Actions ClaimConnect™ Service
Attention:	Operations Managers/Cashiers/Officers
Subject:	ClaimConnect™ Application—API Access

As previously announced via important notice [B13108-20](#), the ClaimConnect™ service¹ is scheduled to launch its fourth release of new functionality into the Proof of Concept today, April 8, 2020. The new functionality will be available for testing in DTCC's UAT Test Environment. As part of this release, DTC will roll out a client-facing API to allow for direct connectivity and automation. This ClaimConnect™ API will enable clients to submit, modify, and update new and existing claims in the UAT Test Environment. Information regarding specifications and requirements for the ClaimConnect™ API can be found via the [DTCC API Marketplace](#) and also via the DTCC website under the Portal drop-down menu, located on the top-right side of the page.

Firms interested in utilizing the ClaimConnect™ API in the UAT Test Environment need to work with DTCC and their firm's Super Access Coordinators (SACs) to establish access ***first*** to the ***DTCC API Marketplace*** and ***second*** to the ***ClaimConnect™ API***. It is important to note that there is one set of roles associated with the DTCC API Marketplace and another set of roles associated with the ClaimConnect™ API.

Guidance on how to gain access to the DTCC API Marketplace is available in important notice [B13108-20](#) and below for your convenience.

How to Access the ClaimConnect™ API

Access to the ClaimConnect™ API roles should be directed to the DTCC Cash Claims mailbox by clicking on [DTCC CA Claims](#). Once your request is received, a DTCC representative will contact you and work with your SAC on provisioning the associated API roles.

How to Coordinate API testing

To coordinate testing of the API's functionality, please contact the DTC Integration team at DTCintegration@dtcc.com.

¹ Implementation of the service is subject to approval of a proposed rule change to be filed by DTC with the U.S. Securities and Exchange Commission.

Questions about the CA Cash Claims initiative can be directed to your Relationship Manager or to the CA Cash Claims mailbox at DTCCCAClaims@dtcc.com.

***Please note that the process to request access to the ClaimConnect™ Web Application remains the same.*

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.