



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8871
<b>P&amp;S #:</b>	8444
<b>Date:</b>	07/10/2020
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, July 10, 2020**

Icon Distributors, Inc. No. 5549

**Last Trade Date, July 14, 2020**

Quasar Distributors, LLC/Smith Group No. 1376

- The following firm will no longer participate in Trade Comparison, Trade Recording and Obligation Warehouse services, as detailed below:

**Last Trade Date, July 09, 2020**

Bloomberg Tradebook LLC No. 7001  
 OTC Alpha Identifier: BOOK

- The following firms will change their name only, as detailed below:

**First Trade Date, July 20, 2020**

From: Fifth Third Bank No. 2116

To: Fifth Third Bank, National Association No. 2116

From: Fifth Third Bank No. 9032

To: Fifth Third Bank, National Association No. 9032

From: Banca IMI Securities Corp. No. 0136

To: Intesa Sanpaolo IMI Securities Corp. No. 0136

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- The following firm will begin participation in the MF Profile Service, as detailed below:  
**First Trade Date, July 14, 2020**  
 Quasar Distributors, LLC/First Republic Investment Management No. 0006
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:  
**First Trade Date, July 15, 2020**  
 Opus Fund Services (USA) LLC/Brandywine Protected 500 Fund LP No. 1631  
 Veridos Advisors LLC No. 1648
- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:  
**First Trade Date, July 13, 2020:**  
 State Street Bank and Trust Company/NRS/GTC-American Century No. 1803  
 Collective Investment Trust  
 Contact: Russell Donohoe  
 Phone: (617) 662-4387
- The following additional number has been assigned to State Street Bank and Trust Company (#2950) for the purpose of utilizing Fund/SERV and Networking services, as detailed below:  
**First Trade Date, July 31, 2020:**  
 State Street Bank and Trust Company/NRS/General Motors Savings No. 1805  
 Plan Master Trust  
 Contact: Belynda Crick  
 Phone: (617) 662-6013
- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Clearing Broker Name Change, effective</u></b>							
<b><u>First Trade Date, July 20, 2020</u></b>							
From: Banca IMI Securities Corp.	BIMI	0136	BIMI	✓	✓	✓	
To: Intesa Sanpaolo IMI Securities Corp.	BIMI	0136	BIMI	✓	✓	✓	
<b><u>Delete Last Trade Date, July 07, 2020</u></b>							
Bofa Securities, Inc.	MLCO	0161	MLZK	✓			
<b><u>Delete Last Trade Date, July 09, 2020</u></b>							
Bloomberg Tradebook LLC	BOOK	7001	BLTR	✓			
Bloomberg Tradebook LLC	BOOK	7001	BTBK	✓			

**Delete Last Trade Date, July 13, 2020**

Sprinklebrokerage, Inc.

VSIN 0535 SPBR ✓ ✓ ✓

**Add First Trade Date, July 10, 2020**

E D & F Man Capital Markets Inc.

EDFP 8873 EDFI ✓

**Add First Trade Date, July 13, 2020**

Asia Pacific Financial Management Group, Inc.

RBCD 0235 AFMG ✓ ✓ ✓ ✓

**Add First Trade Date, July 20, 2020**

AE Financial Services, LLC

NFSC 0226 AEFS ✓ ✓ ✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).