



Important Notice
National Securities Clearing Corporation

A#:	8876
P&S:	8449
Date:	July 24, 2020 - Revised August 10, 2020
To:	ALL MEMBERS AND LIMITED MEMBERS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	<u>Revised Implementation Date:</u> Mutual Funds – MFPS I Price & Rate: Non-ISO Variable Record Length Submissions

As previously communicated, DTCC has identified a number of clients who have been submitting Mutual Fund Profile Service I (MFPS I) – Price and Rate Non ISO variable files (SYS ID 52344) with an incorrect record length, which have been accepted by DTCC up to this point in time. We previously provided an effective date of August 28, 2020. Due to a significant client conversion and to avoid disruption to the client’s conversion date, we are **extending the effective date to September 11, 2020**. We are making a best effort to proactively identify and contact impacted clients prior to the September 11, 2020 effective date, but it is imperative clients review their submissions prior to the effective date and make any necessary corrections to the record length as **DTCC will commence rejecting files with an incorrect record length** starting September 11, 2020.

Questions and comments regarding this Important Notice may be directed to your DTCC Relationship Manager, or Wealth Management Services at 212-855-8877 or WMSconnect@dtcc.com.

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Product Manager

Product Management
DTCC Wealth Management Services

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