



Important Notice
National Securities Clearing Corporation

A#: 8878
P&S# 8451
Date: July 24, 2020
To: ALL MEMBERS AND LIMITED MEMBERS
Attention: MANAGERS; OPERATIONS DEPT FOR ANNUITIES & LIFE INSURANCE,
BROKERAGE OPERATIONS, INFORMATION SYSTEMS
From: NSCC Insurance & Retirement Services
Subject: NSCC Internal Disaster Recovery Exercises – Revised Saturday I&RS
Processing Schedule

Effective Saturday, January 16, 2021, National Securities Clearing Corporation (NSCC) will implement a revised Saturday processing schedule for I&RS. The last outbound transmission times for Asset Pricing (AAP) and Position & Valuations (POV) will be 2PM ET. No other products' last outbound transmission times will be impacted as they are either already at 2PM ET or earlier.

DTCC conducts robust internal disaster recovery exercises covering various scenarios at a minimum of 16 times/year to ensure we are well prepared to minimize the risk of an event impacting service to our clients. The schedule change will provide DTCC with additional time to conduct these exercises.

While the schedule changes provide an expanded window for DTCC to conduct disaster recovery exercises, based on an extensive analysis we anticipate minimal impact to Saturday activity processing. Our analysis of Saturday activity was conducted over a five-month period January 2020 – June 2020, which showed over 96% of activity was received by 2:00PM ET.

A revised transmission schedule will be communicated over the next few weeks to reflect the 2:00PM ET shortened schedule.

Important Note:

This schedule change does not impact the Reg SCI weekend processing schedule, which remains unchanged. Important Notices of Reg SCI schedule changes are distributed, with reminders sent prior to each Reg SCI test. For the current schedule, please see [Important Notice a8851](#).

Questions and comments regarding this Important Notice may be directed to your DTCC Relationship Manager or Insurance & Retirement Services at wmsconnect@dtcc.com.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

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