



<b>B #:</b>	B14540-21
<b>Date:</b>	January 25, 2021
<b>To:</b>	All Participants
<b>Category:</b>	Service Updates
<b>From:</b>	General Counsel's Office
<b>Attention:</b>	Managing Partner/Officer, Operations Partner/Officer, Operations Management
<b>Subject:</b>	Presidential Executive Order 13959 – Additional DTCC Processing Information January 25, 2021

DTCC is including additional securities subject to the [Executive Order \(EO\) 13959](#). The EO prohibits purchase transactions by U.S. persons in publicly traded securities issued by certain Communist Chinese military companies of the People's Republic of China ("CCMCs"). DTCC continues to assess the impact of the prohibition of transactions on securities of issuers deemed CCMCs ("covered securities").

The following securities have been added to the securities subject to the EO as of January 28, 2021:

CUSIP	Security Description	SYMBOL	DTC/NSCC
G21677136	China St Constr Intl Holdings Ltd	CCOHF	NSCC
16948H103	China State Construction International Hldgs Ltd	CCOHY	DTC/NSCC
G4820C130	Inspur Intl Limited	INPRF	NSCC
G4820C122	Inspur Intl Limited		DTC
G48990199	Inspur Intl Limited		DTC
Y80231106	Sinochem International Co Ltd	SICEF	NSCC
Y1300C101	CGN Power Co Ltd	CGNWF	NSCC

NSCC will accept trades in all securities listed above up until UTC close on Wednesday, January 27, 2021. Trade capture, clearance and settlement in the covered securities will continue to process in the normal manner provided the trades are submitted prior to January 27 UTC close. NSCC will suspend trade capture activities through UTC on the covered securities beginning January 28.

DTC will continue to facilitate settlement activities, including deliver and receive orders for the DTC securities listed above including corporate action processing. However, DTC will no longer support the following activities for these securities as of 5:00 PM Wednesday January 27, 2021 prior to the night cycle:

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

Type of Activity	Description
Deposits	No additional physical shares are permitted to be added to the position/inventory at DTC
Pledge	Prevents the security from being pledged as collateral through DTC's collateral loan service
Depository	Prevents movements of securities between depositories
DWAC Deposit	FAST securities are not eligible for direct FAST deposit

DTC will support delivery order processing to enable divestiture and related asset servicing until November 11, 2021.

We remind you that in using DTCC services, you are obligated to comply with all applicable laws, rules and regulations including sanctions laws, rules and regulations administered by OFAC including complying with EO 13959.