



Important Notice
National Securities Clearing Corporation

A#:	8951
P&S:	8524
Date:	January 7, 2021
To:	All Participants
From:	GENERAL COUNSEL'S OFFICE
Attention:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER
Subject:	Presidential Executive Order 13959 – Additional DTCC Processing Information January 7, 2021

DTCC is including additional securities subject to the [Executive Order \(EO\) 13959](#). The EO prohibits purchase transactions by U.S. persons in publicly traded securities issued by certain Communist Chinese military companies of the People's Republic of China ("CCMCs"). DTCC continues to assess the impact of the prohibition of transactions on securities of issuers deemed CCMCs ("covered securities").

The securities listed below in **bold** are being added as covered securities.

CUSIP	Security Description	SYMBOL	DTC/NSCC
168926103	CHINA COMMUNICATIONS CONSTR CO	CCCGY	DTC/NSCC
Y1R36J108	CHINA COMMUNICATIONS CONSTR CO	CCCGF	NSCC
16947L105	CHINA RAILWAY CONSTRUCTION CORP	CWYCY	DTC/NSCC
Y1508P110	CHINA RAILWAY CONSTRUCTION CORP	CWYCF	NSCC
Y6204F100	NANJING PANDA ELECTRONICS COMPANY LTD SHS	NJGPF	NSCC
169426103	CHINA TELECOMMUNICATIONS CORP	CHA	DTC/NSCC
16941M109	CHINA MOBILE LIMITED	CHL	DTC/NSCC
16945R104	CHINA UNICOM LIMITED	CHU	DTC/NSCC
Y0485Q109	AVIATION INDUSTRY CORPORATION OF CHINA	AVIJF	NSCC
05367J104	AVIATION INDUSTRY CORPORATION OF CHINA	--	DTC
Y15117107	CHINA UNICOM CO LTD SHRS A (CHINA) - UNICOM	CIUCF	NSCC
Y1519S111	CHINA UNICOM HONG KONG LIMITED ORDINARY SHARES	CHUFF	NSCC/DTC
12651K102	CRRC Corp Ltd	CRCCY	DTC/NSCC
Y1818X100	CRRC Corp Ltd	CRRRF	NSCC
169428109	China Telecom Ltd	--	DTC
88556LAA4	Three Gorges Fin	--	DTC/NSCC
88556LAB2	Three Gorges Fin	--	DTC/NSCC
88556LAC0	Three Gorges Fin	--	DTC/NSCC
G8850LAA8	Three Gorges Fin	--	DTC
G8850LAB6	Three Gorges Fin	--	DTC
G8850LAC4	Three Gorges Fin	--	DTC
G4820C130	Inspur Intl Limited	--	NSCC
G4820C122	Inspur Intl Limited	--	DTC

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G48990199	Inspur Intl Limited	--	DTC
82937AAB9	Sinochem Overseas	--	DTC/NSCC
G8185TAB5	Sinochem Overseas	--	DTC/NSCC
Y80231106	Sinochem Overseas	--	NSCC
Y1773Z108	CRCC HIGH TECH EQUIP (CHINA)	CHTEF	NSCC
G21677136	CHINA ST CONSTR INTL HOLDINGS LTD ORDINARY SHARES (CAYMAN IS)	CCOHF	NSCC
16948H103	CHINA STATE CONSTRUCTION INTERNATIONAL HLDGS LTD UNSPONSORED	CCOHY	DTC/NSCC

As previously communicated, NSCC will accept trades in all the securities listed above up until UTC close on Friday January 8, 2021, typically between 10:00 PM – 11:00 PM (“January 8 UTC close”). Trade capture, clearance and settlement in the covered securities will continue to process in the normal manner provided the trades are submitted prior to January 8 UTC close. ITP’s affirmed transactions with a trade date of January 8, 2021 or earlier will continue to process in the normal manner. NSCC will suspend trade capture activities through UTC on the covered securities beginning at January 8 UTC close.

DTC will continue to facilitate settlement activities for the DTC securities listed above including delivery order and corporate action processing. However, DTC will no longer support the following activities for these securities as of 5:00 PM Friday January 8, 2021 prior to the start of the Monday night cycle:

Type of Activity	Description
Deposits	No additional physical shares are permitted to be added to the position/inventory at DTC
Pledge	Prevents the security from being pledged as collateral through DTC’s collateral loan service
Depository	Prevents movements of securities between depositories
DWAC Deposit	FAST securities are not eligible for direct FAST deposit

DTC will support the divestiture of covered securities through delivery order processing to enable divestiture from January 11 until November 11, 2021. Additionally, DTC will continue asset servicing for the covered securities, including interest and dividend payments.

As OFAC updates the EO, and any related covered securities, additional notification will be provided.