



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	9066
<b>P&amp;S #:</b>	8639
<b>Date:</b>	10/22/2021
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:  
**Last Trade Date, October 25, 2021**  
NYSE Group, Inc./NYSE Block Omnibus No. 0685  
NYSE Group, Inc./Oars No. 0752
- The following firm will no longer participate in the Trade Comparison, Trade Recording and NYSE Listed services, as detailed below:  
**Last Trade Date, October 19, 2021**  
NYSE Group, Inc./NYSE Block Omnibus No. 0685  
NYSE Alpha Identifier YB
- The following firm will no longer participate in the Trade Comparison, Trade Recording and NYSE Listed services, as detailed below:  
**Last Trade Date, October 19, 2021**  
NYSE Group, Inc./Oars No. 0752  
NYSE Alpha Identifier OARS
- The following firm will no longer participate in the Trade Comparison, Trade Recording and NYSE Listed services, as detailed below:  
**Last Trade Date, October 20, 2021**  
NYSE Group, Inc. No. 0769  
NYSE Alpha Identifier DOT
- The following firm will no longer participate in ACATS Fund/SERV and Fund/SERV services, as detailed below:  
**Last Trade Date, October 21, 2021**  
WesBanco Bank, Inc. No. 2271

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- The following firm will begin participation in the Networking and DTCC Payment aXis services, as detailed below:

**First Trade Date, October 25, 2021:**

Johnstone Brokerage Services, LLC No. 2936

- The following firms will begin participation in the MF Info Xchange service, as detailed below:

**First Trade Date, October 22, 2021**

Delaware Distributors L.P./Ivy Funds No. 4879

**First Trade Date, October 25, 2021**

Thrivent Mutual Funds No. 5106

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, November 15, 2021:**

Amundi Luxembourg S.A. No. 3391

- The following firm will begin participation in the MF Daily Price & Rate service, as detailed below:

**First Trade Date, November 15, 2021:**

Nassau Life and Annuity Company No. 4759

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, October 20, 2021**

UMB Fund Services, Inc./Embree Net Lease Fund IV, LP No. 0N3J

**First Trade Date, October 22, 2021**

Brevan Howard Capital Management LP No. 0N31

Phoenix American Financial Services, Inc./Carter Multifamily No. 0N3K

Growth & Income Fund III, LLC

Securities Transfer Corporation/UsedC Opportunity Zone Fund 1 LP No. 0N3L

**First Trade Date, October 26, 2021**

Abacus Life Services, LLC No. 0N3D

**First Trade Date, October 27, 2021**

ALPS Alternative Investment Services, LLC/Early-Stage Venture Opportunities II, LP No. 0N3O

ALPS Alternative Investment Services, LLC/Private Co-Investment Opportunities III, LP	No.	0N3P
ALPS Alternative Investment Services, LLC/Private Equity VIII - Buyouts, LP	No.	0N3Q
ALPS Alternative Investment Services, LLC/Private Equity VIII - Venture/Growth, LP	No.	0N3S
ALPS Alternative Investment Services, LLC/Private Equity VIII Offshore, LP	No.	0N3T
ALPS Alternative Investment Services, LLC/Private Equity VIII, LP	No.	0N3U

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>		
<b><u>Delete Last Trade Date, October 18, 2021</u></b>								
Pershing LLC	PERS	0443	PPFD	✓				
<b><u>Delete Last Trade Date, October 20, 2021</u></b>								
Quattro M Securities Inc.	CNAB	1186	QMSX	✓				
<b><u>Add First Trade Date, October 21, 2021</u></b>								
Old Mission Markets LLC	GSCO	0005	OMMA	✓	✓			
<b><u>Add First Trade Date, October 25, 2021</u></b>								
Instinet, LLC	CLST	9132	INCX	✓				
Quattro M Securities Inc.	VSIN	0595	QMSX	✓	✓	✓		✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).