



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	9068
<b>P&amp;S #:</b>	8641
<b>Date:</b>	10/29/2021
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, October 29, 2021:**

Forside Fund Services, LLC/Vivaldi Funds

No. 3344

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to First Trust Portfolios, L.P. (#5374) for the purpose of utilizing Fund/SERV, Networking, Omni/SERV Activity & Position, MF Profile, DTCC Payment aXis, MF Info Xchange, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, November 01, 2021**

First Trust Portfolios, L.P./Vivaldi Funds

No. 3344

Contact: James Dykas

Phone: (630) 517-7665

- The following firm will retire from all services, as detailed below:

**Last Trade Date, October 29, 2021:**

Templeton SICAV Funds/Franklin Temp Dist Inc.  
5690

No.

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Franklin Distributors, LLC (#5749) for the purpose of utilizing Fund/SERV, Networking, MF Profile, DTCC Payment aXis, Omni/SERV Activity & Position and ACATS Fund/SERV services, as detailed below:

**First Trade Date, November 01, 2021**

Franklin Distributors, LLC/5690

No. 5690

Contact: Jennifer Davis

Phone: (727) 299-7841

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Non-Confidential

- The following firm will retire from all services, as detailed below:

**Last Trade Date, October 29, 2021:**

Franklin/Templeton Distributors, Inc.

No. 5534

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Franklin Distributors, LLC (#5749) for the purpose of utilizing Fund/SERV, Networking, MF Profile, DTCC Payment aXis, Invoicing and Fee Settlement: 12B-1 services, Omni/SERV Activity & Position, Omni/SERV 529 Aggregation, MF Info Xchange, ACATS, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, November 01, 2021**

Franklin Distributors, LLC/5534

No. 5534

Contact: Jennifer Gusman

Phone: (916) 463-6096

- The following firms will retire from all services, as detailed below:

**Last Trade Date, October 26, 2021**

NYSE Group, Inc.

No. 0769

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, November 02, 2021:**

ALPS Distributors, Inc./Stone Ridge Funds

No. 7055

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, October 27, 2021**

Raymond James & Associates, Inc.

No. 0N1Y

Abacus Life Services, LLC/LMATT Series 2024, Inc.

No. 0N3V

**First Trade Date, October 28, 2021**

Phoenix American Financial Services, Inc./JLLX Rockwell Apartments, DST

No. 0N3X

**First Trade Date, November 02, 2021**

American Healthcare OPPS Holdings, LLC

No. 0N3Z

SS&C Technologies, Inc./Domain Entertainment Fund-A, LP ("Fund A")

No. 0N40

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, November 02, 2021:**

Quasar Distributors, LLC/Brookfield Oaktree Interval Fund

No. 3402

Contact: Casey Sauer

Phone: (414) 203-9122

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>		
<b><u>Clearing Broker Change, Effective First Trade Date, November 01, 2021</u></b>								
From: Virtus Capital Markets LLC	PERS	0443	VCMM					✓
To: Virtus Capital Markets LLC	SWST	0279	VCMM					✓
<b><u>Name Change, Effective First Trade Date, October 28, 2021</u></b>								
From: Lightspeed Financial Services Group LLC	SHMR	0695	LMPT	✓				
To: Score Priority Corp.	SHMR	0695	LMPT	✓				
<b><u>Delete Last Trade Date, October 26, 2021</u></b>								
Worden Capital Management, LLC	LGNT	0052	WORD	✓	✓			✓
<b><u>Delete Last Trade Date, October 29, 2021</u></b>								
Incapital, LLC	RBCD	0235	INCP	✓	✓	✓		✓
<b><u>Add First Trade Date, October 28, 2021</u></b>								
Velocity Capital, LLC	IBKR	0017	VCAO	✓				
<b><u>Add First Trade Date, November 01, 2021</u></b>								
Continental Investors Services, Inc.	RBCD	0235	CONI	✓	✓	✓		✓
Harley Capital LLC	RBCD	0235	HARC	✓	✓	✓		✓
Inspere LLC	RBCD	0235	SPEX	✓	✓	✓		✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).