



<b>B #:</b>	15921-21
<b>Date:</b>	October 6, 2021
<b>To:</b>	All DTC Participants
<b>Category:</b>	Corporate Actions Service Updates
<b>From:</b>	DTC Asset Services Product Management Team
<b>Attention:</b>	Operations Managers/Technology Managers/Cashiers/Officers
<b>Subject:</b>	Holiday Schedule: Columbus Day, October 11, 2021

The Depository Trust Company (DTC) will provide limited services on Columbus Day, Monday, October 11, 2021. Clients should be advised of the following changes in DTC's operations for Columbus Day:

A. Distributions and Redemptions -

The PAMM Participant Terminal System (PTS) function will not be available on Monday, October 11, 2021. However, IPAs will be able to make updates using PAMM via functions PAM1 and PAM5 in PTS. See **Appendix A and B for a complete list of impacted PTS functions.**

B. Reorganizations -

The PSOP (for rights subscriptions) and WARR (Warrants) PTS functions will not be available on Monday, October 11, 2021.

The following DTC Voluntary Reorganization Services will be available to clients for the submission of instructions during normal hours on Columbus Day, October 11, 2021: IVORS, CERR, CMOP, PTOP, PUTS and RCNV. A complete list of available and unavailable PTS functions is presented in **Appendix A and B.**

C. Settlement Web (SW), Participant Browser Services (PBS), and Participant Terminal System (PTS) will be available on Monday, October 11, 2021 for limited processing and only stock allocations will be made. There will be no US cash allocations on Columbus Day, October 11, 2021.

D. ISO2022 CAPA messages on Tuesday, October 11, 2021 will not include corporate actions where record date is Monday, October 11, 2021, and payable date is Tuesday, October 12, 2021. For further details regarding missing forecast please contact the Corporate Actions Modernization Team at [camodernization@dtcc.com](mailto:camodernization@dtcc.com). **See Appendix C for a complete list of ISO2022 messaging availability on Columbus Day, October 11, 2021.**

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

E. CA Web will be available on Columbus Day, October 11, 2021. However, the 7 Day Forecast for Cash (USD) for the next business day, October 12, 2021, will not appear. The projections will appear on the next business day. For further details regarding the forecast please contact the Corporate Actions Modernization Team at [camodernization@dtcc.com](mailto:camodernization@dtcc.com). It is important to note that MMIs will not be impacted by the holiday.

## Appendix A- Columbus Day Available PTS Functions

Function	Function Description	Function	Function Description	Function	Function Description
ABCM	AUTOMATED BOND COLLECTION	CDWC	TA DIRECT DEPOSIT/WITHDRAWAL	PIAR	PRINC/INTEREST ADJ - REPOS
AIM	All AIM Functions will be available	CERR	CD EARLY REDEMPTIONS	PSRA/PSRL/PSRR	PSR REPRINT REQUEST
ANNA	ANNOUNCEMENT ONLINE SYSTEM	DIRE	DIVIDEND RECEIPTS	PTOP	PARTICIPANT TENDERS
APUM	AGENTS PUTS PTS MODERNIZATION	DIVA	DIVIDEND ANNOUNCEMENTS	PUTS	PUTS PROCESSING
APUT	AGENT PUTS	DIVD	DIVIDEND FUNCTION	PXY	PROXY ONLINE MAINTENANCE
ARP1 (ARPS)	ALLOCATION REDEMPTIONS	DLKI	DIRECT LINK INQUIRY	RAMS	REORG AUTOMATION/MANAGEMENT
ASOP	AGENT MENU/RIGHTS SUBS (NEW)	DPAL	POSITION ALLOCATION HISTORY	RANA	RISK ANALYSIS SYSTEM - INQUIRY
ASOT	AGENT ASOT	DTAX	DOMESTIC TAX REPORTING	RAPR	REDEMPTION AGENT PERFORMANCE
ATA	AGING TRANSFER AUTOMATION	DWAC	FAST DIRECT DEPOSIT/WITHDRAWAL	RCIP	PARTICIPANT CONVERSION INQ
ATOP	AGENT MAIN MENU FOR TENDERS	DWAX	DIRECT DEPOSITS & WITHDRAWALS	RCMU	REORG CONVERSION MAIN MENU
ATOT	AGENT ATOT	DWC1	DWAC ISO INPUT	RCNV	REORG CONVERSION
BATD	AUTO TRANSMISSIONS - DIVIDENDS	ECN1	ELECTRONIC CALL NOTIFICATION	RCUR	FOREIGN CURRENCY MAIN MENU
BCSH (BATD)	AUTO TRANSMISSION - CASH	EDS	ELECTIVE DIVIDEND SELECTION	RDSP	RDS (PARTICIPANT SIDE)
		(CRSE/CRSC/FCPC/FCPE/FCPS/FORC/FORE)			
BDE5/BDP5	OVERNIGHT BRANCH DEPOSIT ERR	EDSE	ELECTIVE DIVIDEND CUSIP ELIG	REDP	AUTO LOTTERY SPECIALS
BDS	BRANCH DEPOSITS	EDSX	ELECTIVE DIVIDEND SELECTION	REDS	REDEMPTION PROCESSING
BITA/BITC/BITE/BITM/BITP/BITX	BITS AUTHORIZATION	FBCM	RECON FAST BAL CLERK AUTHO	REOG	REORG MAIN MENU
BMA1/5	ANNOUNCEMENT BOND ADM DETAIL	FBCS	CERTIFICATE INFO ELIGIBILITY	RIPS	REORG INQUIRY
BSTK (BATD)	AUTO TRANSMISSION - STOCK	FDRJ	FAST DEPOSIT REJECTS	RPP1	MDH REORG PUTS PROCESSING
CDEC (PDWC)	DIRECT DEPOSIT FOR CUSTOMER	FDUP (ADJI)	FAIL DELIVER ORDER ADJUSTMENT	RRCD	REFUND REBATE DIV CHARGEBACK
CDED (NDWC)	NIGHT DEP/WD AT CUSTODIAN	ICSM	INVITATION TO COVER SHORT MENU	RRCG	REFUND REBATE REDEM CHARGEBACK
CDEF (NDWP)	NIGHT DEP/WD AT PARTICIPANT	ICSR	INVITATION TO COVER SHORT REQ	RRCR	REFUND REBATE REORG CHARGEBACK
CDEG (CDWC)	DIRECT DEPOSIT FOR CUST	IMPP	PART UNDERWRITING NOTICES	RSMU	REORG ADJUSTMENTS MENU
CDME (CDMF)	CDM EXCLUSIONS ADD/DELETE	IMPT	TA IMPORTANT NOTICES UPDATE	RTOP	MAIN MENU - RLSE RTOP PENDING
CDWC	TA DIRECT DEPOSIT/WITHDRAWAL	IMPV	INTERNAL UNDERWRITING NOTICES	RTP5	CCF REORG TENDER PROCESSING
CERR	CD EARLY REDEMPTIONS	PAM5	PAYING AGENT MMI UPDATE	RWT1	RUSH WITHDRAWAL BY TRANSFER
DIRE	DIVIDEND RECEIPTS	PDWC	PART DIRECT DEPOSIT/WITHDRAWAL	RWTR	RWT SAME DAY REINSTATEMENT
DIVA	DIVIDEND ANNOUNCEMENTS	PFRS	PAPERLESS FAST REDEMPTIONS		
Function	Function Description	Function	Function Description	Function	Function Description
CIRM	CERTIFICATE INFO REQUEST	IVRT	IVORS FOR TRANSFER AGENTS	STDI	PARTICIPANT DEPOSIT INQUIRY
CLMS	CLAIMS SYSTEM	LCSM (LCSE/LCSR)	LOST CERTIFICATE ELIG FUNC	STKD	STOCK DIVIDEND
CMOP	CMOP INSTRUCTIONS	LOS1 (LOSS)	ELIGIBILITY TO FUNCTION LOSS	STP	SECURITIES TRANSFER PROCESSING
CMPI	CHANGE IN MODE OF PAY INQUIRY	LOSS	LOST CERTIFICATE DEPT MENU	STSE	STS EXTERNAL INQUIRY
CMPU	CUSIP FAMILY INQUIRY/UPDATE	MALT	MUNI AUTOMATED LT PROCESSING	TARI	TRANSFER AGENT RESPONSE INQ
CTAS/CTAT/CTAU	CMO TRADE ADJUSTMENT	MAR1/MAR2/MAR3	MARS SUPERVISOR/ ADD CHECK FUNCTION	TARS	ATA TRANSFER AGENT RESPONSE
CUS/CUST	CUSTODY PROCESSING SYSTEM	MARS	MUNI AUTOMATED REDEMPTIONS	TARU	ATA TAR RESEARCHER TBL UPDATE
DAM/DAMP	DEPOSIT AUTOMATION MANAGEMENT	MBPI	MBS P AND I SYSTEM	TAXI	FOREIGN TAX WITHHOLDINGS
DANO	DIVIDEND AGENT RECEIPT CONFIRM	MCA	MUNI COUPON AUTOMATION	TOT2	FULL SERVICE CUSTODY
DAPR	DISBURSEMENT AGENT PERFORMANCE	MCAI	MUNI COUPON AUTOMATION INQ	UNDO/UNDX	UNDERWRITING ISSUE ELIG
DARB (DARS)	DARS BATCH ASSIGNMENT	NDWC	CUSTODIAN NIGHT DEP/WITHDRAWAL	UNIT	UNIT OPTIONS
DARS	DIVIDEND AUTOMATED RECEIPTING	NDWP	NIGHT DIRECT DEPOSIT/WITHD	WARI	WARRANT INQUIRY
DARU (DARS)	DARS SUPERVISOR FUNCTION	NWT1	AUTO NGT WITHDRAWAL BY TRANSFER	WIDC/MS/C/WIRMM	WIRE INSTR DIVIDEND CLERK/MANAGER/SPV
DAWN	DIVIDEND ANNOUNCEMENTS	NYW	NEW YORK WINDOW SETTLEMENT	WTS	WITHDRAWALS MENU
DEPS	DEPOSIT PROCESSING	NYWI	NY WINDOW INQUIRY FUNCTION		
INCA	INTERNATIONAL CORPORATE ACTION	PAM1	MDH PAYING AGENT MMI UPDATE		
IVOR	IVORS PARTICIPANT ADD	SHTI	SHORT POSITION ADJUSTMENT INQ		
IVRS	IVORS FOR SPONSORS	SPOS	SDFS POSITION INQUIRY		

## Appendix B - Columbus Day Unavailable PTS Functions

Function	Function Description	Function	Function Description
ACON	AGENT CONVERSION INSTRUCTION	PSOP	RIGHTS MAIN MENU (NEW)
AWAR	AGENT WARRANT INSTRUCTION	RADP	REDEMPTION AGENT DIRECT PRES
BITT	BITS TRANS FILE MAINTENANCE	RALS	REORG ALLOCATION SYSTEM
DRS/DRS1/DRS5/DRS	DIRECT REGISTRATION SYSTEM	RAR5	CCF REDEMPTION AGENT RECEIPT
DUEB	SECURITIES CARRYING DUE BILL	ROGP/ROG2/ROGC	REORG Receipt CUTOFF/ AUTOBOOK SUBMISSION
EDS1/5	MDH/CCF EDS ELECTION INSTRUCTIONS	RPSR	RPS RETURNS
HLPI	HELP INQUIRY FUNCTION	RTP1	MDH REORG TENDER PROCESSING
IPR5/PT5	IPO RELEASE SHARES/TRANSFER SHARES	RTS1/RTS5	MDH/CCF RIGHTS OVER SUB
IRT1/IRT5	MDH/CCF RIGHTS SUBSCRIPTION	RWP5	CCF REORG WARRANTS ICM INT
NIVR	PARTICIPANT NIGHT IVORS	SLPO (DIVA)	SLN SPO (CASH) ADJUSTMENTS
PAMM	PAYING AGENTS MONEY MARKETS	SLRM	STOCK LOAN/REPO ADJUST MENU
PAMU	PAYING AGENTS UPDATE ELIG	TABS	STS T/A REJECT BILLING
PANS	PROXY ANNOUNCEMENT PART INQ	WARR	WARRANTS
PDRJ	DEPOSIT REJECT INQUIRY	WIDE/WIDC/WIDM/WIDS	WIRE INSTR DIVIDEND/REORG CLERK/MANAGER/SPVR

## Appendix C – ISO2022 Messaging Availability on Columbus Day, October 11, 2021

ANNOUNCEMENT MESSAGES	
CANO and CACN	Limited Volumes, files transmitted based on customer subscription
MNOT and MCAN	
<b>Note: On Columbus Day there are STOCK ALLOCATIONS ONLY; there are no US Cash Allocations on Columbus Day</b>	
DISTRIBUTION, REDEMPTION and REORGANIZATION ENTITLEMENT and ALLOCATION MESSAGES	
CANO-E	The 11:30 p.m. process runs; the 2:00 p.m. process does <b>not</b> run
CAPA* and CAPC	Files transmitted based on customer subscription
CACO and CARE	Only sent for Canadian payments
CAPS	Files transmitted based on customer subscription
DISTRIBUTION INSTRUCTIONS	
CAIN	Files transmitted based on customer subscription
CAST	Files transmitted based on customer subscription
REORGANIZATION INSTRUCTIONS	
CAST	Files transmitted based on customer subscription

**Note:** ISO 2022 CAPA Projection Messages on Tuesday, October 12, 2021 will not include corporate action events where Record Date is Monday, October 11, 2021, and Payable Date is Tuesday, October 12, 2021 for non-MMI securities. For further details regarding the missing projections, please contact the Corporate Actions Modernization Team at [camodernization@dtcc.com](mailto:camodernization@dtcc.com)