



Important Notice
National Securities Clearing Corporation

A#:	9081
P&S #:	8654
Date:	11/26/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, November 26, 2021

Rafferty Capital Markets, LLC/SFS Series Trust No. 2855

Last Trade Date, November 30, 2021

Quasar Distributors, LLC/The Snow Capital Family of Funds No. 4976

- The following firm will change their name only, as detailed below:

First Trade Date, November 29, 2021:

From: AXOS Clearing LLC/E*Trade Savings Bank No. 5981

To: AXOS Clearing LLC/AXOS Advisor Services No. 5981

- The following firm will begin participation in the MF Profile service, as detailed below:

First Trade Date, December 01, 2021:

Foreside Fund Services, LLC/Guinness Atkinson Funds No. 5754

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, November 30, 2021

US Bancorp Fund Services LLC/Ecofin Direct Infrastructure Fund III No. 0N4B

First Trade Date, December 01, 2021

PartnersAdmin LLC/Thorofare Asset Based Lending Fund V, L.P. No. 0N4D

Securities Transfer Corporation/USED C 2021 Drilling Fund LP No. 0N4F

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- The following additional number has been assigned to Amundi Luxembourg S.A. (#3391) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, Omni/SERV Activity & Position and DTCC Payment aXis services, as detailed below:

First Trade Date, December 01, 2021:

Amundi Luxembourg S.A./CPR Invest
 Contact: Alexandre Liot
 Phone: +352 4767 2245

No. 3382

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Delete Last Trade Date, December 06, 2021</u> ADCAP Securities LLC	PERS	0443	ADCP		✓	✓	✓
<u>Add First Trade Date, November 23, 2021</u> Taglich Brothers, Inc.	LGNT	0052	TAGB	✓	✓	✓	✓
<u>Add First Trade Date, November 24, 2021</u> DLP Securities, LLC	VSIN	0595	DLPS	✓	✓	✓	✓
<u>Add First Trade Date, November 26, 2021</u> Holistic Brokerage, LLC	PERS	0443	HBUS		✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.