



IMPORTANT NOTICE

DTCC Derivatives Repository Plc

#:	TIW#753
Date:	October 5 th , 2021
To:	Distribution
From:	Product Management
Subject:	Trade Information Warehouse - DerivSERV Position Recon Reports

In preparation for recent regulatory changes by the SEC taking effect November 5th, 2021, DSMatch and the Trade Information Warehouse will implement a number of enhancements to support SEC reporting as an additional Jurisdiction.

Trade Information Warehouse (“TIW”) will enhance the existing Full Position Reconciliation Report. This enhancement will include additional reporting fields required by the SEC.

SEC Reporting Jurisdiction
SEC Reporting Party ID
SEC NonDisclosure
SEC Clearing Flag

The Full Position Reconciliation Report enhancements are available for immediate testing in the UAT environment. It is advisable that firms review these changes in order to identify any potential system impact. These fields have been added to the end of the report.

An updated sample of the Full Position Reconciliation Report is located on our website at the below link under “Functional Documentation”.

<https://www.dtcc.com/repository-and-derivatives-services/derivatives-services/trade-information-warehouse/support/client-center?gated=derivserv-members>

Any questions related to changes being implemented on the DSMatch side to support SEC reporting, should be directed to support@markitserv.com

Any questions or comments regarding this notification in relation to the TIW should be directed to Deriv/SERV Operations at 1-888-382-2721, Option 3 and 1 (North America); +44-(0)20-7136-6328, Option 1 (UK/Europe/Asia); or via e-mail at TIWSupport@dtcc.com.

Non-Confidential

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