



Important Notice
National Securities Clearing Corporation

P&S #:	8663
A#:	9090
Date:	December 15, 2021
To:	All Participants
Attention:	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
From:	NSCC Operations
Subject:	Holiday Schedule: Martin Luther King Jr Day 2022

National Securities Clearing Corporation will be closed on Monday, January 17 in observance of Martin Luther King Jr Day. The following changes in NSCC's operations and schedules will be in effect:

COMPARISON SYSTEMS

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITs (CMU) for trade date Friday, January 14 will be accepted no later than 8:00 pm on Friday, January 14.

Trade Capture & Reporting

No Universal Trade Capture (UTC) processing will take place on Monday, January 17.

CONTINUOUS NET SETTLEMENT (CNS)

Seg. Exemptions and Priority Requests for settlement of Tuesday, January 18 will be accepted on Friday Evening, January 14.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Martin Luther King Jr. Day processing to determine their schedules in effect.

CENTRAL DELIVERY AND SETTLEMENT

No Delivery Services or Settlement Operations will be provided on Monday, January 17.

INTER-CITY DELIVERIES

Inter-City Deliveries will be accepted on Friday, January 14 for settlement Tuesday, January 18.

AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)

No ACATS activity will be accepted on Monday, January 17.

ACATS - FUND/SERV INTERFACE

No ACATS-Fund/SERV Interface activity will be accepted on Monday, January 17.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

ACATS/IPS

No ACATS/IPS Interface activity will be accepted on Monday, January 17.

OBLIGATION WAREHOUSE (OW)

OW services will not be in effect for Monday, January 17. No input will be received or processed, and no output generated for OW. Any submission after close of business Friday, January 14 should be for the next business date. Normal processing will resume for business day Tuesday, January 18.

FUND/SERV®

No Fund/SERV® activity will be accepted on Monday, January 17.

DTCC Payment aXis®

No DTCC Payment aXis® activity will be accepted on Monday, January 17.

NETWORKING & OMNI/SERV

No Networking or Omni/SERV activity will be accepted on Monday, January 17.

MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)

No MFPS I or MFPS II activity will be accepted on Monday, January 17.

MF INFO XCHANGE

Mutual Fund Info Xchange activity will be accepted on Monday, January 17.

ALTERNATIVE INVESTMENT PRODUCTS (AIP)

The AIP System will process transactions and create settlement data files, but there will be no money settlement on Monday, January 17.

INSURANCE PROCESSING

Transmissions for all products will be accepted and processed on Monday, January 17. Output will be produced for all non-money settlement (excluding In Force Day 2 Confirmations and ACATS/REP/BIN) on Monday, January 17. All settlement product output (including In Force Day 2 Confirmation output and ACATS/REP/BIN) will be transmitted on the regular business day Tuesday, January 18.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or to the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.