



Important Notice
National Securities Clearing Corporation

A#:	9094
P&S #:	8667
Date:	12/31/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, December 31, 2021:

Forside Fund Services, LLC/Entrepreneur Shares

No. 5367

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Vigilant Distributors, LLC (#2413) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate and ACATS Fund/SERV services, as detailed below:

First Trade Date, January 03, 2022

Vigilant Distributors, LLC/Entrepreneur Shares

No. 5367

Contact: Matthew Seidenberg

Phone: (484) 840-3704

- The following firms will retire from all services, as detailed below:

Last Trade Date, January 04, 2022

State Street Bank & Trust Company/NRS/Intel Retirement Contribution Plan Trust

No. 4033

State Street Bank & Trust Company/NRS/Intel 401K Savings Plan Trust

No. 5197

- The following firm will no longer participate in the MF Info Xchange service, as detailed below:

Last Trade Date, December 30, 2021

Baillie Gifford Funds Services LLC

No. 9490

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- The following firm will change their name only, as detailed below:

First Trade Date, December 28, 2021:

From: Bank of Oklahoma No. 6752
 To: BOKF, N.A. No. 6752

- The following firm will begin participation in the Networking service, as detailed below:

First Trade Date, January 03, 2022:

Prudential Investment Management Services LLC/On Behalf of PGIM Funds PLC No. 8224

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, January 03, 2022

Phoenix American Financial Services, Inc./HSR Income & Value REIT 2, Inc. No. 0N5C

First Trade Date, January 05, 2022

Owl Rock Technology Income Corp. No. 0N58

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	
<u>Delete Last Trade Date, December 27, 2021</u> Olivetree Financial, LLC	RBCD	0235	OTUS	✓	✓	✓	✓
<u>Add First Trade Date, December 31, 2021</u> East West Markets, LLC	SWST	0279	EWMX	✓		✓	

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.