



Important Notice

Fixed Income Clearing Corporation - MBSD

MBS#: MBS967-21
DATE: MARCH 8, 2021
TO: MORTGAGE-BACKED SECURITIES DIVISION MEMBERS
CATEGORY: SERVICES UPDATE
SUBJECT: PROPOSED RULE CHANGE FILING – AMENDMENT TO THE CLEARING AGENCY INVESTMENT POLICY (SR-FICC-2021-001)

On March 8, 2021, Fixed Income Clearing Corporation (“FICC”) filed a proposed rule change (SR-FICC-2021-001) (“Rule Filing”) with the Securities and Exchange Commission (“SEC”) proposing to amend the Clearing Agency Investment Policy. The proposed amendments would (1) enhance the methodology for determining investment limits for investments in bank deposits, and (2) clarify the description of certain investable funds of the Government Securities Division of FICC, as described in the Rule Filing.

The full text of the Rule Filing may be obtained by visiting the DTCC website at <https://www.dtcc.com/legal/sec-rule-filings>. Written comments on the filing may be addressed to Fixed Income Clearing Corporation, c/o General Counsel’s Office, 55 Water Street, New York, New York 10041, Attention: Jacqueline Chezar, Executive Director and Associate General Counsel, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary of the Commission, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549. Electronic comments may be submitted using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>) or by sending an email to rule-comments@sec.gov. Please include File Number SR-FICC-2021-001 on the subject line. We request that you provide FICC with a copy of your comments.

Questions regarding these filings or this Important Notice may be addressed to Jacqueline Chezar, Executive Director and Associate General Counsel, at 212-855-3216.

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