



Important Notice
National Securities Clearing Corporation

A#:	8997
P&S #:	8570
Date:	04/30/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, April 30, 2021:

Waddell & Reed, Inc.

No. 4879

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Delaware Distributors L.P. (#5510) for the purpose of utilizing Fund/SERV, Networking, MF Profile, DTCC Payment aXis, Omni/SERV Activity & Position and ACATS Fund/SERV services, as detailed below:

First Trade Date, May 03, 2021

Delaware Distributors L.P./IVY Funds

No. 4879

Contact: Mike Mahoney

Phone: (215) 255-1436

- The following firm will retire from all services, as detailed below:

Last Trade Date, May 05, 2021

Funds Distributor, LLC/GMO Series Trust

No. 3601

- The following firm will no longer participate in the Fund/SERV, MF Daily Price & Rate, Networking, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

Last Trade Date, May 04, 2021

Fidelity Distributors Company LLC/Fidelity Institutional Liquidity Fund 2

No. 1364

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Non-Confidential

- The following firms will change their name only, as detailed below:

First Trade Date, April 30, 2021:

From: CIBC Bank and Trust Company (Cayman) Limited No. 8050
 To: Firstcaribbean International Bank and Trust Company (Cayman) Limited No. 8050

From: CIBC Bank and Trust Company (Cayman) Limited/Aggressive Segregated Portfolio No. 6848
 To: Firstcaribbean International Bank and Trust Company (Cayman) Limited/Aggressive Segregated Portfolio No. 6848

From: CIBC Bank and Trust Company (Cayman) Limited/Phoenician Offshore Fund Ltd. No. 0MYH
 To: Firstcaribbean International Bank and Trust Company (Cayman) Limited/Phoenician Offshore Fund Ltd. No. 0MYH

From: CIBC Bank and Trust Company (Cayman) Limited/Phoenician Offshore Master Fund Ltd. No. 0MYI
 To: Firstcaribbean International Bank and Trust Company (Cayman) Limited/Phoenician Offshore Master Fund Ltd. No. 0MYI

- The following firms will begin participation in the MF Profile service, as detailed below:

First Trade Date, April 29, 2021

Quasar Distributors, LLC/RBC Funds Trust No. 5413

First Trade Date, May 04, 2021

Assetmark Trust Company No. 5970

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

First Trade Date, April 30, 2021:

Eaton Vance Distributors, Inc./Eaton Vance International (Ireland) PLC. No. 5438

- The following firm will begin participation in the MF Daily Price & Rate service, as detailed below:

First Trade Date, May 03, 2021

Quasar Distributors LLC/Jacob Internet Fund No. 4960

- The following firm will begin participation in the MF Info Xchange service, as detailed below:

First Trade Date, May 04, 2021

Fidelity Distributors Company LLC/Fidelity Institutional Liquidity Fund 2 No. 1364

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:
First Trade Date, May 05, 2021
Federated Securities Corp./Hermes Investment Management No. 4418
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, April 29, 2021
Preferred Capital Securities, LLC No. 0MZE

First Trade Date, April 30, 2021
The True Life Companies, LLC No. 0MZH

First Trade Date, May 03, 2021
SS&C Technologies, Inc./Bridge Multifamily Fund V LP No. 0MZN
SS&C Technologies, Inc./Bridge Multifamily Fund V-A LP No. 0MZO
SS&C Technologies, Inc./Bridge Multifamily Fund V International-A LP No. 0MZP
- The following firm will begin participation in Insurance Processing Services, as detailed below:
First Trade Date, May 05, 2021
Filippini Wealth Management, Inc. No. 2675
- The following firm will begin participation in OTC Comparison, Obligation Warehouse and ACATS services, as detailed below:
First Trade Date, April 29, 2021:
Volant Securities, LLC No. 4305
OTC Alpha Identifier VLNT
- The following additional number has been assigned to Reliance Trust Company (#4896) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:
First Trade Date, April 30, 2021:
Reliance Trust Company/Colchester No. 2519
Contact: James Kramer
Phone: (312) 557-3592

- The following additional number has been assigned to Foreside Distribution Services, L.P. (#4967) for the purpose of utilizing Fund/SERV, Networking, MF Daily Price & Rate, DTCC Payment aXis, ACATS Fund/SERV services, as detailed below:

First Trade Date, May 03, 2021:

Foreside Distribution Services, L.P./AXA IM (LUX)
 Contact: Sandrine Cherain
 Phone: +352 46 40 10 797

No. 2626

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<u>Clearing Broker Change, Effective First Trade Date, May 06, 2021</u>							
From: Lombard Securities Incorporated	SWST	0279	LOMB	✓	✓	✓	✓
To: Lombard Securities Incorporated	WRET	0141	LOMB	✓	✓	✓	✓
<u>Clearing Broker Change, Effective First Trade Date, May 17, 2021</u>							
From: Virtu Americas LLC	VRTU	0063	VIRT	✓			
To: Virtu Americas LLC	NITC	0295	VIRT	✓			
<u>Delete Last Trade Date, May 19, 2021</u>							
Cetera Advisor Networks LLC	WRET	0141	SUMT	✓	✓	✓	✓
<u>Add First Trade Date, April 30, 2021</u>							
Pennhaven Brokerage Partners, LLC	LGNT	0052	PHBP	✓	✓	✓	✓
<u>Add First Trade Date, May 03, 2021</u>							
Credicorp Capital LLC	PERS	0443	CRED	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.