



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	8999
<b>P&amp;S:</b>	8572
<b>Date:</b>	<b>May 5, 2021</b>
<b>To:</b>	All Participants
<b>From:</b>	NSCC Operations
<b>Attention:</b>	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants, I&RS Participants
<b>Subject:</b>	Holiday Schedule: Memorial Day 2021

National Securities Clearing Corporation will be closed on Monday, May 31, 2021 in observance of Memorial Day. The following changes in NSCC's operations and schedules will be in effect:

**COMPARISON SYSTEMS**

Trade input for the Real Time Trade Matching System (RTTM) for Corporates, Municipals, and UITs (CMU) for trade date Friday, May 28, 2021 will be accepted no later than 8:00 pm on Friday, May 28, 2021.

**TRADE CAPTURE & REPORTING**

No Universal Trade Capture (UTC) processing will take place on Monday, May 31, 2021.

**CONTINUOUS NET SETTLEMENT (CNS)**

Seg. Exemptions and Priority Requests for settlement of Tuesday, June 1, 2021 will be accepted on Friday Evening, May 28, 2021.

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Memorial Day processing to determine their schedules in effect.*

**CENTRAL DELIVERY AND SETTLEMENT**

No Delivery Services or Settlement Operations will be provided on Monday, May 31, 2021.

**INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, May 28, 2021 for settlement Tuesday, June 1, 2021.

**AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)**

No ACATS activity will be accepted on Monday, May 31, 2021.

**ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, May 31, 2021.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

**OBLIGATION WAREHOUSE (OW)**

OW services will not be in effect for Monday, May 31, 2021. No input will be received or processed, and no output generated for OW. Any submission after close of business Friday, May 28, 2021 should be for the next business date. Normal processing will resume for business day Tuesday, June 1, 2021.

**ACATS - FUND/SERV INTERFACE**

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, May 31, 2021.

**FUND/SERV®**

No Fund/SERV® activity will be accepted on Monday, May 31, 2021.

**DTCC PAYMENT aXis®**

No DTCC Payment aXis® activity will be accepted on Monday, May 31, 2021.

**NETWORKING & OMNI/SERV**

No Networking or Omni/SERV activity will be accepted on Monday, May 31, 2021.

**MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPS I or MFPS II activity will be accepted on Monday, May 31, 2021.

**MF INFO XCHANGE**

Mutual Fund Info Xchange activity will be accepted on Monday, May 31, 2021.

**ALTERNATIVE INVESTMENT PRODUCTS (AIP)**

The AIP System will process transactions and create settlement data files, but there will be no money settlement on Monday, May 31, 2021.

**INSURANCE PROCESSING**

Transmissions for all products will be accepted and processed on Monday, May 31, 2021. Output will be produced for all non-money settlement (excluding In Force Day 2 Confirmations and ACATS/REP/BIN) product output on Monday, May 31, 2021. All settlement product output (including In Force Day 2 Confirmation output and ACATS/REP/BIN) will be transmitted on the regular business day Tuesday, June 1, 2021. No PSE processing will occur on Monday, May 31, 2021.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager, or the DTCC Client Support Line at 888-382-2721 Option 5 then appropriate Product selection. Thank you.