



<b>A#:</b>	<b>9011</b>
<b>P&amp;S:</b>	<b>8584</b>
<b>Date:</b>	<b>June 10, 2021</b>
<b>To:</b>	All Participants
<b>From:</b>	NSCC Operations
<b>Attention:</b>	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants, I&RS Participants
<b>Subject:</b>	Holiday Schedule: Independence Day 2021

National Securities Clearing Corporation will be closed on Monday, July 5, 2021 in observance of Independence Day. The following changes in NSCC's operations and schedules will be in effect:

**COMPARISON SYSTEMS**

Trade input for the Real Time Trade Matching System (RTTM) for Corporates, Municipals, and UITS (CMU) for trade date Friday, July 2, 2021 will be accepted no later than 8:00 PM on Friday, July 2, 2021.

**TRADE CAPTURE & REPORTING**

No Universal Trade Capture (UTC) processing will take place on Monday, July 5, 2021.

**CONTINUOUS NET SETTLEMENT (CNS)**

Seg. Exemptions and Priority Requests for settlement of Tuesday, July 6, 2021 will be accepted on Friday Evening, July 2, 2021.

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Independence Day processing to determine their schedules in effect.*

**CENTRAL DELIVERY AND SETTLEMENT**

No Delivery Services or Settlement Operations will be provided on Monday, July 5, 2021.

**INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, July 2, 2021 for settlement Tuesday, July 6, 2021.

**AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)**

No ACATS activity will be accepted on Monday, July 5, 2021.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

**ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, July 5, 2021.

**OBLIGATION WAREHOUSE (OW)**

OW services will not be in effect for Monday, July 5, 2021. No input will be received or processed and no output generated for OW. Any submission after close of business Friday, July 2, 2021 should be for the next business date. Normal processing will resume for business day Tuesday, July 6, 2021.

**ACATS - FUND/SERV INTERFACE**

No ACATS-Fund/SERV Interface activity will be accepted on Monday, July 5, 2021.

**FUND/SERV®**

No Fund/SERV® activity will be accepted on Monday, July 5, 2021.

**DTCC PAYMENT AXIS®**

No DTCC Payment aXis® activity will be accepted on Monday, July 5, 2021.  
DTCC Payment aXis® activity will be accepted on Saturday, July 3, 2021.

**NETWORKING & OMNI/SERV**

No Networking or Omni/SERV activity will be accepted on Monday, July 5, 2021.  
Networking and Omni/SERV activity will be accepted on Saturday, July 3, 2021.

**MUTUAL FUND PROFILE SERVICE (MFPSI – PRICE AND RATE AND MFPSII-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPSI or MFPSII activity will be accepted on Monday, July 5, 2021.

**MF INFO XCHANGE:**

Mutual Fund Info Xchange activity will be accepted on Monday, July 5, 2021.

**ALTERNATIVE INVESTMENT PRODUCTS (AIP)**

The AIP System will process transactions and create settlement data files. There will be no money settlement on Monday, July 5, 2021.

**INSURANCE PROCESSING**

Transmissions for all product files will be accepted and processed on Monday, July 5, 2021 and Saturday July 3, 2021. Output will be produced for all non-money settlement (excluding IFT Web Services, Funds Transfer Day 2 Confirmations and ACATS/REP/BIN) products on Monday, July 5, 2021 and Saturday July 3, 2021. All settlement product output (including Funds Transfer Day 2 Confirmations and ACATS/REP/BIN) will be transmitted on the regular business day Tuesday, July 6, 2021. The PSE (test) environment will be closed on Monday, July 5<sup>th</sup>, 2021.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager, or the DTCC Client Support Line at 888-382-2721 Option 5 then appropriate Product selection. Thank you.