



Important Notice
National Securities Clearing Corporation

A#:	9012
P&S #:	8585
Date:	06/11/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 14, 2021

Commonwealth Annuity & Life Insurance Company/First Allmerica Life Insurance Company

No. 3111

Last Trade Date, June 15, 2021

Foreside Funds Distributors LLC /First Puerto Rico Family of Funds

No. 5547

- The following firm will no longer participate in the Trade Comparison, Trade Recording, NYSE Listed, Prime Broker, Obligation Warehouse and ACATS services, as detailed below:

Last Trade Date, June 14, 2021

BB&T Securities, LLC

No. 0702

NYSE Alpha Identifier

CLRV

OTC Alpha Identifier:

BBNT

CORP Alpha Identifier:

BBNT

MUNI Alpha Identifier:

BBNT

UIT Alpha Identifier:

BBNT

- The following firm will begin participation in the Fund/SERV service, as detailed below:

First Trade Date, June 11, 2021

Mizuho Securities USA LLC

No. 0892

- The following firm will begin participation in the MF Info Xchange service, as detailed below:

First Trade Date, June 15, 2021

Foreside Fund Services, LLC/Cliffwater Corporate Lending Fund

No. 3993

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- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, June 14, 2021

Capital Integration Systems LLC/CAIS-Sculptor Capital Management, Inc. No. 0N0K

- The following additional number has been assigned to Foreside Fund Services, LLC (#5659) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

First Trade Date, June 11, 2021:

Foreside Fund Services, LLC/AFA Multi-Manager Credit Fund No. 3029

Contact: Darren Siverling

Phone: (414) 299-2160

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, June 09, 2021</u>							
Lightspeed Financial Services Group LLC	SHMR	0695	LISG	✓			
<u>Delete Last Trade Date, June 14, 2021</u>							
BB&T Securities, LLC	BBNT	0702	BBST	✓	✓	✓	✓
BB&T Securities, LLC	BBNT	0702	BBTT	✓	✓	✓	✓
Carolina Capital Markets, Inc.	BBNT	0702	CARC	✓		✓	
Capitol Securities Management, Inc.	BBNT	0702	CSCV	✓		✓	
Mcmillion Securities, Inc.	BBNT	0702	MCME	✓	✓	✓	✓
H.G. Wellington & Co., Inc.	BBNT	0702	WELC	✓		✓	
<u>Add First Trade Date, June 09, 2021</u>							
SA Stone Wealth Management Inc.	PERS	0443	STXW		✓	✓	✓
Tradepro Securities, Inc.	VLNT	4305	TPRO	✓			
<u>Add First Trade Date, June 14, 2021</u>							
Sturdivant & Co., Inc.	PFCL	0158	STUR		✓		✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.