

A#: 9017
P&S# 8590
Date: June 18, 2021
To: ALL MEMBERS AND LIMITED MEMBERS
Attention: MANAGERS; OPERATIONS DEPT FOR ANNUITIES & LIFE INSURANCE,
BROKERAGE OPERATIONS, INFORMATION SYSTEMS
From: NSCC Insurance & Retirement Services
Subject: I&RS 2021 September Enhancement Release

Overview:

As part of our ongoing effort to support industry requests, the Insurance and Retirement Services (“I&RS”) has scheduled an enhancement release for September 2021. This is the Fall scheduled enhancement release for 2021. I&RS Enhancement Releases include Review Board Enhancement Requests submitted by members and modifications identified by the Producer Alternative Identifiers subcommittee as well as maintenance items National Securities Clearing Corporation (“NSCC”) deems necessary to improve the overall operational performance of the systems.

The enhancements include the addition of new information fields on current I&RS record layouts to support new features on I&RS products. Modifications include new fields and code values added to existing code lists.

The enhancements in the September 2021 release will affect the following services and functionalities:

IFW – In Force Web Transactions

LNA – LNA Training Vendor and Licensing & Appointments

Schedule:

Test – Thursday, August 19, 2021

Production – Thursday, September 16, 2021

Specification Documents:

Documentation is available at the I&RS website, <http://www.dtcc.com/wealth-management-services/insurance-and-retirement-services/technical-support-center>. Click on the Current Release link within the Enhancements section.

All changes on the record layouts are highlighted in yellow. Items may be added to the list below as NSCC may deem advisable.

- September 2021 Enhancement Release Technical Specifications Document
- Draft In force Web Transactions Data Dictionary & Schemas
- Draft LNA Record Layouts, and Producer Training Record Layouts

Release Specifications:

These changes are based on the recommendations made by the originator of the enhancement request and approved by I&RS.

The enhancement requests can be found at our website under “Current Release” in the Enhancements [section](#) of our website. Do not use the actual enhancement request document for programming. The Draft Record Layouts and 2021 September Enhancement Release Technical Specifications Document should be used for this purpose. We are making the enhancement request documents available to summarize the business purpose for the changes.

For additional information on this enhancement release, please contact your Relationship Manager.

Jovani Munoz
Product Management