



B#:	15567-21
DATE:	June 25, 2021
TO:	Participants
CATEGORY	Service Updates
FROM:	General Counsel's Office
ATTENTION:	Managing Partner/Officer, Operations Partner/Officer, Operations Management
SUBJECT:	Submission of Rule Filing (SR-DTC-2021-011) – Amendments relating to Confidential Information, Market Disruption Events and Systems Disconnect Rule

On June 25, 2021, The Depository Trust Company (“DTC”) filed a proposed rule change (SR-DTC-2021-011) (“Rule Filing”) with the Securities and Exchange Commission proposing to amend the DTC Rules, Bylaws and Organization Certificate (“Rules”) to (i) revise certain provisions in the Rules relating to the treatment of confidential information by the DTC and its participants, (ii) add certain officers who are allowed to determine that there is a Market Disruption Event under the Market Disruption and Force Majeure rule and (iii) add a new rule to address situations in which it is necessary to disconnect a participant from DTC’s systems due to an imminent threat of harm to DTC and/or participants.

The full text of the Rule Filing may be obtained by visiting the DTCC website at www.dtcc.com/legal/sec-rule-filings.aspx. Written comments on the Rule Filing may be addressed to The Depository Trust Company, c/o General Counsel’s Office, 55 Water Street, New York, NY 10041, Attention: James Nygard, Director and Assistant General Counsel, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington DC 20549. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an e-mail to rulecomments@sec.gov. Please include File Number SR-DTC-2021-011 on the subject line. We request that you provide DTC with a copy of your comments.

Questions and comments regarding this Important Notice may be directed to your relationship manger.

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