



Important Notice

Fixed Income Clearing Corporation - MBS

MBS #:	MBS999-21
Date:	June 25, 2021
To:	Mortgage-Backed Securities Division Members
Category:	Service Updates
Subject:	Submission of Rule Filing (SR-FICC-2021-004) – Amendments relating to Confidential Information, Market Disruption Events and Systems Disconnect Rule

On June 25, 2021, Fixed Income Clearing Corporation (“FICC”) filed a proposed rule change (SR-FICC-2021-004) (“Rule Filing”) with the Securities and Exchange Commission proposing to amend the Mortgage-Backed Securities Division (“MBS”) Clearing Rules and the MBS EPN Rules (collectively, “Rules”) to (i) revise certain provisions in the Rules relating to the treatment of confidential information by the FICC and its participants, (ii) add certain officers who are allowed to determine that there is a Market Disruption Event under the Market Disruption and Force Majeure rule and (iii) add a new rule to address situations in which it is necessary to disconnect a participant from FICC’s systems due to an imminent threat of harm to FICC and/or participants.

The full text of the Rule Filing may be obtained by visiting the DTCC website at www.dtcc.com/legal/sec-rule-filings.aspx. Written comments on the Rule Filing may be addressed to Fixed Income Clearing Corporation - Mortgage-Backed Securities Division, c/o General Counsel’s Office, 55 Water Street, New York, NY 10041, Attention: James Nygard, Director and Assistant General Counsel, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington DC 20549. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an e-mail to rulecomments@sec.gov. Please include File Number SR-FICC-2021-004 on the subject line. We request that you provide FICC with a copy of your comments.

Questions and comments regarding this Important Notice may be directed to your relationship manager.

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