



Important Notice
National Securities Clearing Corporation

A#:	9021
P&S#	8594
Date:	June 25, 2021
To:	ALL MEMBERS AND LIMITED MEMBERS
From:	GENERAL COUNSEL’S OFFICE
Attention:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER
Subject:	SUBMISSION OF RULE FILING (SR-NSCC-2021-007) – AMENDMENTS RELATING TO CONFIDENTIAL INFORMATION, MARKET DISRUPTION EVENTS AND SYSTEMS DISCONNECT RULE

On June 25, 2021, National Securities Clearing Corporation (“NSCC”) filed a proposed rule change (SR-NSCC-2021-007) (“Rule Filing”) with the Securities and Exchange Commission proposing to amend the NSCC Rules & Procedures (“Rules”) to (i) revise certain provisions in the Rules relating to the treatment of confidential information by the NSCC and its participants, (ii) add certain officers who are allowed to determine that there is a Market Disruption Event under the Market Disruption and Force Majeure rule and (iii) add a new rule to address situations in which it is necessary to disconnect a participant from the NSCC’s systems due to an imminent threat of harm to NSCC and/or participants.

The full text of the Rule Filing may be obtained by visiting the DTCC website at www.dtcc.com/legal/sec-rule-filings.aspx. Written comments on the Rule Filing may be addressed to National Securities Clearing Corporation, c/o General Counsel’s Office, 55 Water Street, New York, NY 10041, Attention: James Nygard, Director and Assistant General Counsel, and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary, Securities and Exchange Commission, 100 F Street, NE, Washington DC 20549. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an e-mail to rulecomments@sec.gov. Please include File Number SR-NSCC-2021-007 on the subject line. We request that you provide NSCC with a copy of your comments.

Questions and comments regarding this Important Notice may be directed to your relationship manger.

DTCC, on behalf of its subsidiaries, offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.