



Important Notice
National Securities Clearing Corporation

A#:	9009
P&S #:	8582
Date:	06/04/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:
Last Trade Date, June 04, 2021
Ultimus Fund Distributors, LLC/Selective Wealth No. 8382

- The following firm will no longer participate in the ACATS service, as detailed below:
Last Trade Date, June 14, 2021
BB&T Securities, LLC No. 0702

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:
First Trade Date, June 03, 2021
Quasar Distributors, LLC/Jackson Square Partners Funds No. 9492

- The following firms will begin participation in the MF Info Xchange service, as detailed below:
First Trade Date, June 03, 2021
Brown Brothers Harriman & Co. No. 0010

- **First Trade Date, June 04, 2021**
Great-West Life & Annuity Insurance Company No. 6952

- The following firms will begin participation in the MF Profile service, as detailed below:
First Trade Date, June 04, 2021
Rafferty Capital Markets, LLC/Sparrow Funds No. 5908

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First Trade Date, June 07, 2021

Arbor Court Capital, LLC/Berkshire Funds

No. 5899

- The following firm will begin participation in the MF Profile and MF Info Xchange services, as detailed below:

First Trade Date, June 09, 2021

RFS Partners

No. 4989

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, June 04, 2021

SS&C Technologies, Inc./CMMTP-2, L.P. Class A-1

No. 0N0E

SS&C Technologies, Inc./CMMTP-2, LP -CAIS Investors Class A-1

No. 0N0F

SS&C Technologies, Inc./CMMTP-2, L.P. CLASS I

No. 0N0G

SS&C Technologies, Inc./CMMTP-2, LP - CAIS Investors Class I

No. 0N0I

First Trade Date, June 07, 2021

Black Creek Exchange LLC/BC Exchange Reno DST

No. 0N0H

First Trade Date, June 09, 2021

OPUS Fund Services (USA) LLC/Rare Life Capital LP

No. 0N0J

- The following additional number has been assigned to Global Trust Company (#5915) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:

First Trade Date, June 03, 2021:

Global Trust Company/JPM-Nationwide

No. 0098

Contact: Arvind Kesireddy

Phone: (781) 970-5050

- The following additional number has been assigned to Quasar Distributors, LLC (#5368) for the purpose of utilizing Fund/SERV, Networking and MF Daily Price & Rate services, as detailed below:

First Trade Date, June 04, 2021:

Quasar Distributors, LLC/Hotchkis & Wiley Opportunities MP Fund

No. 3022

Contact: Casey Sauer

Phone: (414) 203-9122

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<u>Clearing Broker Change, Effective First Trade Date, June 7, 2021</u>							
From: Sturdivant & Co., Inc.	ECUT	0100	STUR	✓		✓	
To: Sturdivant & Co., Inc.	PFCL	0158	STUR	✓		✓	
From: Themis Trading LLC	ECUT	0100	THEM	✓			
To: Themis Trading LLC	DAIN	0235	THEM	✓			
<u>Delete Last Trade Date, June 04, 2021</u>							
William C. Burnside & Co.	SWST	0279	BURN		✓		✓
Heikkinen Energy Securities, LLC	PERS	0443	HEIK	✓	✓		✓
Vining-Sparks IBG, L.P.	PERS	0443	VSLP		✓		✓
<u>Delete Last Trade Date, June 07, 2021</u>							
Rice Securities, LLC	LGNT	0052	RICD	✓			
<u>Add First Trade Date, June 03, 2021</u>							
INTL Fillmore Advisors LLC	MLCO	0161	MRNA	✓	✓	✓	✓
<u>Add First Trade Date, June 07, 2021</u>							
Themis Trading LLC	RBCD	0235	THEM		✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.