



Important Notice
National Securities Clearing Corporation

A#:	9022
P&S #:	8595
Date:	07/02/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

Last Trade Date, June 30, 2021

TIER REIT, Inc. No. 6986

Last Trade Date, July 06, 2021

ALPS Distributors, Inc./Stone Ridge Trust VI No. 4395

Last Trade Date, July 07, 2021

Center Street Securities Inc. No. 0034

- The following firm will begin participation in the Retirement Plan Reporting service, as detailed below:

First Trade Date, July 06, 2021:

GWFS Equities, Inc. No. 0257

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:

First Trade Date, July 06, 2021:

Quasar Distributors LLC/Weiss Multi-Strategy Funds No. 3235

- The following firms will begin participation in the MF Profile service, as detailed below:

First Trade Date, July 06, 2021:

Matthew 25 Fund, Inc. No. 6637

First Trade Date, July 07, 2021:

Forester Funds, Inc. No. 4949

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- The following firm will begin participation in the Fund/SERV service, as detailed below:

First Trade Date, July 15, 2021:

J.P. Morgan Securities LLC/CISC No. 0432

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, July 01, 2021

BTG Pactual Asset Management US, LLC No. 0N0N

First Trade Date, July 06, 2021

NESF Investment Services, LLC/ASI Multi-Family Impact Fund LP No. 0N0Z

JSQ Administrative Services LLC No. 0N03

SS&C Technologies, Inc./Infinity Solariant Renewable Energy Fund 1 LLC No. 0N10

Noetic Investment Advisors, LLC No. 0N11

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:

First Trade Date, July 01, 2021:

Ultimus Fund Distributors, LLC/Channing Capital Funds No. 1678

Contact: Chris Baird

Phone: (402) 578-0172

- The following additional number has been assigned to Principal Life Insurance Company (#4592) for the purpose of utilizing Fund/SERV, Networking and DTCC Payment aXis services, as detailed below:

First Trade Date, July 06, 2021

Principal Life Insurance Company/Principal Custody Solutions No. 1677

Contact: Matt Moffitt

Phone: (515) 362-2924

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Name Change, Effective First Trade Date, July 01, 2021</u>							
From: 280 Securities	PERS	0443	TWOS		✓	✓	✓
To: Incapital LLC	PERS	0443	TWOS		✓	✓	✓
<u>Clearing Broker Change, Effective First Trade Date, June 30, 2021</u>							
From: CF Global Trading, LLC	ECUT	0100	CFGF	✓			
To: CF Global Trading, LLC	DAIN	0235	CFGF	✓			
<u>Clearing Broker Change, Effective First Trade Date, July 06, 2021</u>							
From: Americas Executions, LLC	ITGI	0099	AMRI	✓			
To: Americas Executions, LLC	WSGD	8199	AMRI	✓			
<u>Delete Last Trade Date, June 30, 2021</u>							
Sunrise Brokers LLC	ECUT	0100	SUBR	✓			
Lombard Securities Incorporated	WRET	0141	LOMB	✓			
SEB Securities Inc	PERS	0443	ENSK		✓		✓
<u>Add First Trade Date, June 30, 2021</u>							
CF Global Trading, LLC	RBCD	0235	CFGF		✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.