



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	9030
<b>P&amp;S #:</b>	8603
<b>Date:</b>	07/23/2021
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, July 30, 2021**

E\*Trade Savings Bank

No. 5981

**As a result of the merger of E\*Trade Savings Bank with and into AXOS Clearing LLC, AXOS Clearing LLC (#0052) will assume all open contracts for E\*Trade Savings Bank (#5981) which are to be cleared or settled through or by National Securities Clearing Corporation.**

Consequently, AXOS Clearing LLC will open the following account retaining all current services. Please note that nothing will change operationally with regards to the account.

**First Trade Date, August 02, 2021**

AXOS Clearing LLC/E\*Trade Savings Bank

No. 5981

- The following firms will retire from all services, as detailed below:

**Last Trade Date, July 30, 2021**

Salvosa Group, Inc.

No. 0MR1

AQBGWS, Inc.

No. 4298

- The following firms will change their name only, as detailed below:

**First Trade Date, July 21, 2021:**

From: Foreside Distribution Services, L.P./Blackstone/GSO

No. 7586

Floating Rate Enhanced Income Fund

To: Foreside Distribution Services, L.P./Blackstone Floating

No. 7586

Rate Enhanced Income Fund

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Non-Confidential

**First Trade Date, July 23, 2021:**

From: BNB Bank

No. 9052

To: Dime Community Bank

No. 9052

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, July 22, 2021:**

ALPS Distributors Inc./Litman Gregory Masters Funds

No. 4892

- The following firm will begin participation in the MF Info Xchange Service, as detailed below:

**First Trade Date, August 02, 2021:**

Thornburg Securities Corporation

No. 5541

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, July 27, 2021**

Atlas Fund Services (USA) LLC/Garin Global Fund SPC - Multistrategy Segregated Portfolio

No. 0N15

Cortland Fund Services LLC/Stellus Credit Master Fund III, LLC

No. 0N16

Cortland Fund Service LLC/Stellus Credit Offshore Fund III, LP

No. 0N17

Cortland Fund Services LLC/Stellus Credit Offshore Erisa Fund III, LP

No. 0N18

Cortland Fund Services LLC/Stellus Credit VCOC Fund III, LLC

No. 0N19

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>	
<b><u>Clearing Broker Change, Effective First Trade Date, July 26, 2021</u></b>								
From: Olivetree Financial, LLC	MLCO	0161	OTUS	✓	✓	✓	✓	
To: Olivetree Financial, LLC	RBCD	0235	OTUS	✓	✓	✓	✓	
<b><u>Delete Last Trade Date, July 19, 2021</u></b>								
Collins & Co	RBCD	0235	COLL	✓	✓	✓	✓	
<b><u>Delete Last Trade Date, July 21, 2021</u></b>								
Alluvion Securities, LLC	SALI	0750	ALLU	✓	✓	✓	✓	
International Financial Solutions, Inc.	SALI	0750	AZAD	✓	✓	✓	✓	
Central Securities, LLC	SALI	0750	CENT	✓	✓	✓	✓	
Epic Capital Securities	SALI	0750	ECBD		✓			

Fintech Securities	SALI	0750	FINZ	✓	✓	✓	
MHA Financial Corp	SALI	0750	MHAF	✓	✓		✓
Marco Polo Securities Inc.	SALI	0750	MPSC	✓	✓		
Primex	SALI	0750	PMMX		✓		✓
RSG Capital Corp.	SALI	0750	RAFI	✓	✓		✓
ROGO Capital LLC	SALI	0750	ROGO	✓	✓	✓	✓
Rialto Trading Network LLC	SALI	0750	RTNT		✓	✓	
Sandlapper Securities LLC	SALI	0750	SAND		✓		✓
Spencer Edwards Inc	SALI	0750	SPIN	✓	✓	✓	✓
<b><u>Add First Trade Date, July 23, 2021</u></b>							
Quint Capital Corporation	RBCD	0235	QCAP	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).