



Important Notice
National Securities Clearing Corporation

A#:	9033
P&S #:	8606
Date:	07/30/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 30, 2021:

T. Rowe Price Trust Company

No. 2128

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to Reliance Trust Company (#5962) for the purpose of utilizing Fund/SERV, Networking and MF Info Xchange services, as detailed below:

First Trade Date, August 02, 2021

Reliance Trust Company/TRP RPS

No. 2128

Contact: Lynn Mohl

Phone: (678) 271-1658

- The following firm will retire from all services, as detailed below:

Last Trade Date, July 30, 2021:

AIG Capital Services, Inc./American General Life Insurance Company

No. 4232

In connection with the above account retirement, another member firm will now assume this same number, as detailed below:

- The following additional number has been assigned to American General Life Insurance Company (#4232) for the purpose of utilizing the Fund/SERV service, as detailed below:

First Trade Date, August 02, 2021

American General Life Insurance Company

No. 4232

Contact: Cynthia Moskowitz

Phone: (203) 529-9514

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Non-Confidential

- The following firms will retire from all services, as detailed below:

Last Trade Date, July 29, 2021

Flat Rock Global, LLC No. 3073

Last Trade Date, July 30, 2021

Cortland Fund Services LLC/JCR Income Plus Fund IV, L.P. No. 0167

Cortland Fund Services LLC/Stellus Credit Master Fund II, LLC No. 1065

Cortland Fund Services LLC/Thorofare Asset Based Lending Fund III, L.P. No. 1459

Cortland Fund Services, LLC/JCR Commercial Real Estate Finance Fund IIIA, L.P. No. 1473

Cortland Fund Services, LLC/JCR Commercial Real Estate Finance Fund IIIB, L.P. No. 1474

- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:

First Trade Date, July 27, 2021

The Huntington National Bank No. 2305

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, August 03, 2021

NAV Consulting Inc/GLAS Funds LP No. 0N1C

NAV Consulting Inc/GLAS Funds SPC No. 0N1E

- The following additional number has been assigned to Raymond James & Associates, Inc. (#0725) for the purpose of Insurance Processing Services, as detailed below:

First Trade Date, August 02, 2021

Raymond James & Associates, Inc./Producers Choice No. 1679

Contact: Beth Maziad

Phone: 727-567-5365

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>

Name Change, Effective First

Trade Date, August 02, 2021

From: UBS Financial Services Inc of PR PWJC 0221 PWPR ✓

To: UBS Financial Service Inc. PWJC 0221 PWPR ✓

Clearing Broker Change, Effective First

Trade Date, August 02, 2021

From: Lime Brokerage LLC	WEDB	0103	LMGP	✓			
To: Lightspeed Financial Services Group LLC	WSGD	8199	LMGP	✓			

Add First Trade Date, August 02, 2021

Velocity Clearing, LLC	PERS	0443	VCPL	✓	✓		
Alpaca Securities LLC	VSIN	0595	ALPA	✓	✓	✓	✓
Etoro Usa Securities Inc.	PFCL	0158	ETOR	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.