



Important Notice
National Securities Clearing Corporation

A#:	9040
P&S #:	8613
Date:	08/13/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

The following update from Important Notice A#9037 (P&S#8610) dated August 06, 2021:

Add First Trade Date, August 09, 2021

Rice Financial Products Company

RBCD

0235

RICD

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✓

- The following firms will retire from all services, as detailed below:

Last Trade Date, August 11, 2021

Winslow, Evans & Crocker, Inc.

No. 0332

Last Trade Date, August 13, 2021

Cortland Fund Services LLC/Alcova Capital Yield Premium Fund, L.P.

No. 4217

Cortland Fund Services, LLC/Group RMC Master Co-Invest, L.P.

No. 4429

Cortland Fund Services, LLC/Group RMC Master Co-Invest Parallel, L.P.

No. 4430

Cortland Fund Services, LLC/Group RMC Master Co-Invest Parallel Blocker, L.P.

No. 4459

State Street Bank & Trust Co./SIP

No. 5953

Cortland Fund Services LLC/Thorofare Asset Based Lending Fund IV, L.P.

No. 6788

Last Trade Date, August 17, 2021

BNP Paribas, New York Branch/AXA Investment Managers

No. 3046

Last Trade Date, August 20, 2021

Cortland Fund Services LLC/ASI Capital Income Fund, LLC

No. 7009

Cortland Fund Services LLC/LC Medical Assets, LLLP

No. 7315

Cortland Fund Services LLC/Rock Medical Assets, LLC

No. 7316

Cortland Fund Services LLC/ASI Healthcare Capital Partners I, L.P.

No. 7319

Cortland Fund Services, LLC/First Capital Advisors Multi-Family

Real Estate Fund, LP

No. 7327

Cortland Fund Services LLC/Inspired Healthcare Capital Fund LP

No. 9408

- The following firms will begin participation in the MF Profile service, as detailed below:

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit <http://www.dtcc.com/rss-feeds.aspx>.

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First Trade Date, August 11, 2021

Quasar Distributors LLC/Harding Loevner FDS Inc No. 4981

First Trade Date, August 19, 2021

Quasar Distributors, LLC/Rockefeller Funds No. 3016

- The following firm will begin participation in the DTCC Payment aXis service, as detailed below:

First Trade Date, August 11, 2021

The Lincoln National Life Insurance Company No. 4500

- The following firm will begin participation in the Retirement Plan Reporting service, as detailed below:

First Trade Date, August 11, 2021

Hornor, Townsend & Kent, LLC No. 0326

- The following firm will begin participation in the Omni/SERV Activity & Position, as detailed below:

First Trade Date, August 16, 2021

UMB Distribution SVCS LLC/Marsico INVT Funds No. 5728

- The following firm will begin participation in Insurance Processing Services, as detailed below:

First Trade Date, August 16, 2021:

Nassau Life And Annuity Company No. 4759

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, August 12, 2021

UMB Fund Services, Inc./MBC Private Equity Fund II LP No. 0N1R

ALPS Alternative Investment Services, LLC/Private Equity VII - Buyouts, LP No. 0N1S

ALPS Alternative Investment Services, LLC/Private Equity VII -
Venture/Growth, LP No. 0N1V

ALPS Alternative Investment Services, LLC/Private Co-Investment
Opportunities II, LP No. 0N1W

First Trade Date, August 18, 2021

SS&C Technologies, Inc./Bridge Workforce And Affordable Housing Fund II-R No. 0N1X

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Delete Last Trade Date, August 11, 2021</u>							
J&C Global Securities, LLC	LGNT	0052	MEAT	✓	✓		✓
<u>Delete Last Trade Date, August 12, 2021</u>							
Sidoti & Company, LLC	MIRA	1043	SIDC	✓			
<u>Add First Trade Date, August 11, 2021</u>							
Boustead Securities, LLC	QNTX	0294	EDDY	✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.