DTCC *Important Notice* National Securities Clearing Corporation

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Date:	AUGUST 17, 2021
То:	ALL MEMBERS
From:	PRODUCT MANAGEMENT, EQUITIES CLEARANCE
Attention:	MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER MANAGER P&S DEPARTMENT, MANAGER DATA PROCESSING DEPARTMENT
Subject:	ROLLOUT OF NEW CLIENT INTERFACE FOR SMART/TRACK FOR CNS CORPORATE ACTIONS – PRODUCTION RELEASE

As a part of its client-interface modernization effort, on July 15th, 2021 the National Securities Clearing Corporation (NSCC) released the upgraded 'Smart/Track for CNS Corporate Actions' to the 'Production Staging Environment' (PSE) (aka the Participant test environment). The new corporate action system is designed to provide Members with a more enhanced, streamlined, and secure way to view upcoming voluntary corporate actions as well as optional dividends for their CNS[®] positions and make their respective elections.

As the next step, NSCC will release the new application to the PRODUCTION environment and make it available to clients on Friday, August 27th, 2021. At the same time, NSCC will take down the existing interface.

NSCC has prepared an extensive documentation regarding this change and has released a new document called 'SMART/TRACK FOR CNS CORPORATE ACTIONS'. To access all new content as it becomes available, see the <u>SMART/Track for CNS Corporate Actions</u> <u>Documents</u> section of the DTCC Learning Center. Note that in order to view the document, you must be logged into the site. If you do not current have DTCC Learning login credentials, follow the registration steps available as an option on the <u>login</u> page. You can also access the Learning Center from the MyDTCC portal by selecting the My Learning Dashboard tile.

<u>Members are strongly advised to familiarize themselves with the new application prior</u> to its PROD release date in order to ensure a seamless transition. Note that the new 'Smart/Track for CNS Corporate Actions' will carry over your existing login profiles.

If you have any questions regarding these enhancements, please contact your DTCC Relationship Manager.

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