



Important Notice
National Securities Clearing Corporation

A#:	9037
P&S #:	8610
Date:	08/06/2021
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

Last Trade Date, August 03, 2021:

IBKR Securities Services LLC	No.	0549
OTC Alpha Identifier		TMBR
MUNI Alpha Identifier		TMBR
CORP Alpha Identifier		TMBR
NYSE Alpha Identifier		THI

As a result, effective August 06, 2021 Interactive Brokers LLC/Retail (#0534) will assume all open contracts for IBKR Securities Services LLC (#0549), which are to be cleared or settled through or by National Securities Clearing Corporation.

- The following firms will retire from all services, as detailed below:

Last Trade Date, August 06, 2021

Cortland Fund Services LLC/Thorofare Asset Based Lending Fund II, L.P.	No.	1662
Cortland Fund Services, LLC/Thorofare Asset Based Lending Fund V, L.P	No.	1889
Cortland Fund Services LLC/Stonehill Strategic Hotel Credit Opportunity Fund QP, L.P.	No.	2088
Cortland Fund Services LLC/Momentum Real Estate Fund, LLC	No.	3372
Cortland Fund Services LLC/Stonehill Strategic Hotel Credit Opportunity Fund, L.P.	No.	3558

- The following firm will change their name only, as detailed below:

First Trade Date, August 05, 2021:

From: First Command Financial Planning, Inc.	No.	0502
To: First Command Brokerage Services, Inc.	No.	0502

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- The following firms will begin participation in the MF Profile service, as detailed below:

First Trade Date, August 04, 2021

Quasar Distributors, LLC/Crossingbridge No. 3306

First Trade Date, August 09, 2021

Benefit Trust Company No. 0761

- The following firm will begin participation in the Omni/SERV Activity & Position, as detailed below:

First Trade Date, August 06, 2021

Goldman, Sachs & CO. LLC/Commerce Funds No. 5799

- The following firm will begin participation in the MF Profile and MF Info Exchange services, as detailed below:

First Trade Date, August 10, 2021

Forside Fund Services, LLC/AFA Multi-Manager Credit Fund No. 3029

- The following firm will begin participation in the MF Info Exchange service, as detailed below:

First Trade Date, August 10, 2021

EULAV Securities LLC No. 5827

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

First Trade Date, August 05, 2021

Michael J. Liccar & Company, LLC/TRO Investment Partners IV, LLC	No.	0N1H
CION Investment Group, LLC/CION Man Residential REIT - D	No.	0N1I
CION Investment Group, LLC/CION Man Residential REIT - F	No.	0N1J
CION Investment Group, LLC/CION Man Residential REIT - I	No.	0N1K
CION Investment Group, LLC/CION Man Residential REIT - S	No.	0N1M
CION Investment Group, LLC/CION Man Residential REIT - T	No.	0N1O

First Trade Date, August 06, 2021

BEE Partners Management Company, LLC No. 0N1F

First Trade Date, August 10, 2021

Phoenix American Financial Services, Inc./JLLX Louisville Airport Distribution Center, DST No. 0N1Q

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking and ACATS Fund/SERV services, as detailed below:

First Trade Date, August 09, 2021:

Ultimus Fund Distributors, LLC/Towpath Funds
 Contact: Gregory B. Getts
 Phone: (440) 992-0066 X101

No. 3090

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>		
<u>Clearing Broker Change, Effective First Trade Date, August 04, 2021</u>								
From: IBKR Securities Services LLC	TMBR	0549	TMBR	✓			✓	
To: IBKR Securities Services LLC	IBKR	0534	TMBR	✓			✓	
<u>Delete Last Trade Date, August 03, 2021</u>								
IBKR Securities Services LLC	TMBR	0549	TMBR			✓		
<u>Delete Last Trade Date, August 05, 2021</u>								
Numis Securities Inc.	PERS	0443	NUMS			✓		✓
<u>Add First Trade Date, August 05, 2021</u>								
Velocity Clearing, LLC	QNTX	0294	VCVU	✓				
S. Goldman Advisors, LLC	IBKR	0534	SGAL	✓				
DV Equities	SHMR	0695	DVEQ	✓				
<u>Add First Trade Date, August 06, 2021</u>								
Sagetrader, LLC	ETCC	0873	SAGW	✓				
<u>Add First Trade Date, August 09, 2021</u>								
Rice Financial Products Company	RICD	0235	RBCD	✓	✓	✓	✓	✓

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.