



*Important Notice*  
**National Securities Clearing Corporation**

<b>A #:</b>	<b>9231</b>
<b>P&amp;S #</b>	<b>8804</b>
<b>Date:</b>	<b>NOVEMBER 14, 2022</b>
<b>To:</b>	<b>ALL MEMBERS AND LIMITED MEMBERS</b>
<b>From:</b>	<b>GENERAL COUNSEL'S OFFICE</b>
<b>Attention:</b>	<b>MANAGING PARTNER/OFFICER, OPERATIONS PARTNER/OFFICER, COMPLIANCE OFFICER</b>
<b>Subject:</b>	<b>SUBMISSION OF RULE FILING (SR-NSCC-2022-014) – AMEND ADDENDUM A OF THE NSCC RULES TO ADOPT FEES FOR THE AIP DOCUMENT TRANSFER SERVICE</b>

On November 14, 2022, National Securities Clearing Corporation (“NSCC”) filed a proposed rule change (SR-NSCC-2022-014) with the Securities and Exchange Commission pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended. The proposed rule change would amend Addendum A of the NSCC Rules & Procedures (“Rules”) to adopt fees for the AIP Document Transfer service.

The full text of the Rule Filing may be obtained by visiting the DTCC website at [www.dtcc.com/legal/sec-rule-filings.aspx](http://www.dtcc.com/legal/sec-rule-filings.aspx).

Written comments on the Rule Filing may be addressed to the Secretary, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549-1090. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an email to [rule-comments@sec.gov](mailto:rule-comments@sec.gov). Please include File Number SR-NSCC-2022-014 on the subject line. We request that you provide NSCC with a copy of your comments.

Questions or inquiries regarding the Rule Filing may be addressed to [RuleFilingAdmin@dtcc.com](mailto:RuleFilingAdmin@dtcc.com).

DTCC, on behalf of its subsidiaries, offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).