



Important Notice
National Securities Clearing Corporation

A#:	9232
P&S #:	8805
Date:	11/18/2022
To:	ALL PARTICIPANTS
Attention:	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
From:	ACCOUNT ADMINISTRATION
Subject:	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participating in the MF Info Xchange service, as detailed below:
First Trade Date, November 21, 2022:
Ultimus Fund Distributors, LLC/Cantor Sustainable No. 3172
- The following firm will begin participation in DTCC Payment Axis, Networking, MF Profile and Insurance Processing services, as detailed below:
First Trade Date, November 21, 2022:
Kingswood Capital Partners, LLC No. 4069
- The following firm will begin participating in the Fund/SERV service, as detailed below:
First Trade Date, December 01, 2022:
Mutual Securities, Inc. No. 1592
- The following firms will begin participation in the Alternative Investment Products service, as detailed below:
First Trade Date, November 18, 2022:
Allocate Management Company, LLC No. 12NU
Raymond James Financial Services, Inc. No. 12O6

First Trade Date, November 21, 2022:
Ares Industrial Real Estate Exchange LLC/AIREX Portfolio VI DST No. 12Q5
UMB Fund Services, Inc./Sower Farmland Fund LLP No. 12QA
SS&C Technologies, Inc./Basepoint Income Solutions Evergreen Fund, L.P. No. 12QB
SS&C Technologies, Inc./Basepoint Income Solutions Evergreen No. 12QC
Offshore Fund, L.P.

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Non-Confidential

First Trade Date, November 23, 2022:

Apex Fund Services (Canada) LTD./Assay Plus Fund LP

No. 12QY

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<u>Clearing Broker Change, Effective First Trade Date, November 21, 2022</u>								
From: Electronic Transaction Clearing, Inc.	ETCC	0873	ETBE		✓			
To: Clear Street LLC	CLST	9132	ETBE		✓			

If you have any questions regarding this notice, please E-mail NSCCAA@DTCC.com.