



## Important Notice

### National Securities Clearing Corporation

<b>P&amp;S #:</b>	8810
<b>A#:</b>	9237
<b>Date:</b>	December 1, 2022
<b>To:</b>	All Participants
<b>Attention:</b>	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
<b>From:</b>	NSCC Operations
<b>Subject:</b>	Holiday Schedule: New Year's 2023

National Securities Clearing Corporation will be closed on Monday, January 2, 2023 in observance of New Year's Day. The following changes in NSCC's operations and schedules will be in effect:

#### **COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITs (CMU) for trade date Friday, December 30, 2022 will be accepted no later than 8:00 pm on Friday, December 30, 2022.

#### **TRADE CAPTURE AND REPORTING**

No UTC processing will take place on Monday, January 2, 2023.

#### **SECURITIES FINANCING TRANSACTION (SFT)**

There will be no settlement for SFT activity and no new SFTs or Roll messages accepted by UTC on Monday, January 2, 2023.

#### **CONTINUOUS NET SETTLEMENT CNS**

There will be no CNS Settlement on Monday, January 2, 2023.

Seg. Exemptions and Priority Requests for settlement of Tuesday, January 3, 2023 will be accepted on Friday Evening, December 30, 2022.

*The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding New Year's Day processing to determine their schedules in effect.*

#### **CENTRAL DELIVERY AND SETTLEMENT (ESS)**

Delivery Services or Settlement Operations will be not provided on Monday, January 2, 2023.

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**INTER-CITY DELIVERIES (IESS)**

Inter-City Deliveries will be accepted on Friday, December 30, 2022 for settlement Tuesday, January 3, 2023.

**AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)**

No ACATS activity will be accepted on Monday, January 2, 2023.

**ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 2, 2023.

**OBLIGATION WAREHOUSE (OW)**

OW services will not be in effect for Monday, January 2, 2023. No input will be received or processed, and no output generated for OW. Any submission after close of business Friday, December 30, 2022 should be for the next business date. Normal processing will resume for business day Tuesday January 3, 2023.

**ACATS - FUND/SERV INTERFACE**

No ACATS-Fund/SERV Interface activity will be accepted on Monday, January 2, 2023.

**FUND/SERV®**

No Fund/SERV® activity will be accepted on Monday, January 2, 2023.

**DTCC PAYMENT aXis®**

No DTCC Payment aXis® activity will be accepted on Monday, January 2, 2023.

**NETWORKING & OMNI/SERV®**

No Networking or Omni/SERV activity will be accepted on Monday, January 2, 2023.

**MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II-PARTICIPANT, SECURITY AND DISTRIBUTION)**

No MFPS I or MFPS II activity will be accepted on Monday, January 2, 2023.

**MF INFO XCHANGE**

Mutual Fund Info Xchange activity will be accepted on Monday, January 2, 2023.

**ALTERNATIVE INVESTMENT PRODUCTS (AIP)**

AIP will be closed for transactions, settlement files and money settlement on Monday, January 2, 2023

**INSURANCE PROCESSING**

Transmissions for all products will be accepted and processed on Monday, January 2, 2023. Output will be produced for all non-money settlement (excluding Funds Transfer Day 2 and ACATS/REP/BIN) product output on Monday, January 2, 2023. All settlement product output (including Funds Transfer Day 2 output and ACATS/REP/BIN) will be transmitted on the regular business day Tuesday, January 3, 2023.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or to the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.