

<b>A#:</b>	9236
<b>P&amp;S #:</b>	8809
<b>Date:</b>	12/02/2022
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, December 01, 2022:**

Partnersadmin LLC/Thorofare Asset Based Lending Fund V, L.P.

No. 0N4D

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, December 02, 2022**

Ultimus Private Fund Solutions, LLC/Thorofare Asset Based Lending Fund V, L.P. No. 0N4D

- The following firm will retire from all services, as detailed below:

**Last Trade Date, December 02, 2022:**

Alps Distributors Inc./James Advantage Funds

No. 5613

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, December 05, 2022**

Ultimus Fund Distributors, LLC/James Advantage Funds

No. 5613

- The following firm will retire from all services, as detailed below:

**Last Trade Date, December 09, 2022:**

Forside Funds Distributors LLC /The Torray Funds

No. 6554

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Non-Confidential

***In connection with the above account retirement, another member firm will now assume this same number, as detailed below:***

- The following firm will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, December 12, 2022**

Quasar Distributors, LLC/The Torray Funds	No.	6554
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- The following firms will retire from all services, as detailed below:

**Last Trade Date, December 05, 2022:**

Cottonwood Residential, Inc./Cottonwood Multifamily Reit II, Inc.	No.	1021
Cottonwood Residential, Inc./Cottonwood Residential Preferred Stock, Ria	No.	1025
Cottonwood Residential, Inc./Cottonwood Multifamily Reit I, Inc.	No.	1502
Cottonwood Residential, Inc./Cottonwood Residential, Inc., Series 2016 Preferred Stock	No.	2270
Cottonwood Residential, Inc./CCI 2016 Preferred Stock	No.	3947
Cottonwood Residential, Inc./CCI 2017 Preferred Stock	No.	3948
Cottonwood Residential, Inc./CR II Non-Voting Common Stock	No.	4127
Cottonwood Residential, Inc./Cottonwood Residential, Inc., Common Non-Voting Stock	No.	5038
Cottonwood Residential, Inc./Cottonwood Multifamily Opportunity Fund, Inc.	No.	6543

- The following firms will change their name only, as detailed below:

**First Trade Date, November 29, 2022:**

From: Great-West Life & Annuity Insurance Company/MM	No.	2783
To: Empower Annuity Insurance Company of America/MM	No.	2783
From: Great-West Life & Annuity Insurance Company Of New York/MM	No.	3008
To: Empower Life & Annuity Insurance Company Of New York/MM	No.	3008
From: Great-West Life & Annuity Insurance Company/MM2	No.	3168
To: Empower Annuity Insurance Company Of America/MM2	No.	3168
From: Great-West Life & Annuity Insurance Company Of New York/MM2	No.	3170
To: Empower Life & Annuity Insurance Company Of New York/MM2	No.	3170
From: Great-West Life & Annuity Insurance Company/ American Funds Distributors Inc.	No.	3332
To: Empower Annuity Insurance Company Of America/ American Funds Distributors Inc.	No.	3332
From: Great-West Life & Annuity Insurance Company Of New York/ American Funds Distributors Inc.	No.	3334

To:	Empower Life & Annuity Insurance Company Of New York/ American Funds Distributors Inc.	No.	3334
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From:	Great-West Life & Annuity Insurance Company	No.	4735
To:	Empower Annuity Insurance Company of America	No.	4735

From:	Great-West Life & Annuity Insurance Company Of New York	No.	4736
To:	Empower Life & Annuity Insurance Company Of New York	No.	4736

From:	Great-West Life & Annuity Insurance Company	No.	6952
To:	Empower Annuity Insurance Company of America	No.	6532

- The following firm will change their name only, as detailed below:

**First Trade Date, December 05, 2022:**

From:	E D & F Man Capital Markets Inc.	No.	8873
To:	Marex Capital Markets Inc.	No.	8873

- The following firm will begin participation in Networking, RPR (Retirement Plan Reporting) and Insurance Processing services, as detailed below:

**First Trade Date, November 29, 2022:**

Empower Financial Services, Inc./	No.	3580
Great-West Life & Annuity Ins Co Of NY - Mutual Fund RPR		

- The following firm will begin participation in Insurance Processing services, as detailed below:

**First Trade Date, December 01, 2022:**

Prime Capital Investment Advisors, LLC	No.	3067
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, November 30, 2022:**

Alter Domus (US) LLC/Monroe Capital Opportunistic II Private Credit (Delaware) Feeder Fund LP	No.	12SC
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Alter Domus (US) LLC/Monroe Capital Opportunistic II Private Credit (LUX) Feeder Fund SCSP SICAV-RAIF	No.	12SD
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**First Trade Date, December 01, 2022:**

SS&C Technologies, Inc./PCA Andalusian Partners, L.P.-  
Committed Onshore Series

No. 12T0

SS&C Technologies, Inc./PCA Andalusian Partners, L.P. –  
Committed Offshore Series

No. 12T1

- The following additional number has been assigned to Foreside Financial Services, LLC (#6532) for the purpose of utilizing Fund/SERV, Networking, DTCC Payment aXis, MF Profile and ACATS Fund/SERV as detailed below:

**First Trade Date, December 07, 2022:**

Foreside Financial Services, LLC/Monachil  
Contact: Darren Siverling  
Phone: (414) 299-2160

No. 3590

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<b><u>Clearing Broker</u></b>		<b><u>Executing Broker</u></b>		<b><u>Products OTC/CMU</u></b>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	<u>UIT</u>
<b><u>Clearing Broker Change, Effective First Trade Date, December 05, 2022</u></b>							
From: Electronic Transaction Clearing, Inc.	ETCC	0873	ETEJ	✓			
To: Clear Street LLC	CLST	9132	ETEJ	✓			
From: Electronic Transaction Clearing, Inc.	ETCC	0873	ETDX	✓			
To: Clear Street LLC	CLST	9132	ETDX	✓			
<b><u>Name Change, Effective First Trade Date, December 01, 2022</u></b>							
From: Precision Securities, LLC	CLST	9132	PERO	✓			
To: Clear Street LLC	CLST	9132	PERO	✓			
<b><u>Add First Trade Date, November 29, 2022</u></b>							
MBS Source Etrading, Inc.	LGNT	0534	MBSA		✓	✓	
<b><u>Add First Trade Date, December 05, 2022</u></b>							
Chimera Securities, LLC	CLST	9132	CMRA	✓			
<b><u>Add First Trade Date, December 09, 2022</u></b>							
Opencash	EMBD	1963	OCSH	✓			

**Add First Trade Date, December 12, 2022**

Transamerica Financial Advisors, Inc.

NFSC

0226

TFAI

✓

✓

✓

✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).