



*Important Notice*  
**National Securities Clearing Corporation**

<b>A#:</b>	9250
<b>P&amp;S #:</b>	8823
<b>Date:</b>	12/23/2022
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services, as detailed below:

**Last Trade Date, December 27, 2022:**

Kalos Capital, Inc No. 6926

**Last Trade Date, December 28, 2022:**

Quasar Distributors, LLC/Argent Capital Funds No. 3706

The Impact Partnership, LLC No. 4063

**Last Trade Date, December 30, 2022:**

People's Securities, Inc. No. 0220

- The following firm will no longer participate in the MF Info Xchange service, as detailed below:

**Last Trade Date, December 23, 2022:**

Ultimus Fund Distributors, LLC/James Advantage Funds No. 5613

- The following firm will begin participating in the MF Profile and MF Info Xchange services, as detailed below:

**First Trade Date, December 23, 2022:**

Foreside Fund Services, LLC/Forum Cre Income Fund No. 3539

- The following firm will begin participating in the MF Info Xchange: API service, as detailed below:

**First Trade Date, December 28, 2022:**

Putnam Retail Management Limited Partnership No. 5505

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- The following firm will begin participating in the Fund/SERV service, as detailed below:

**First Trade Date, January 06, 2023:**

Macquarie Capital (USA) Inc. No. 0114

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, December 27, 2022:**

NESF Investment Services, LLC/USCA Alkeon Innovation Fund Segregated Portfolio	No.	12Y9
NESF Investment Services, LLC/Bling Capital Fund Segregated Portfolio	No.	12YA
NESF Investment Services, LLC/Casdin Private Growth Equity Fund Segregated Portfolio	No.	12YB
NESF Investment Services, LLC/Commonfund Venture Direct Segregates Portfolio	No.	12YC
NESF Investment Services, LLC/Commonfund Venture Partners XIV Segregated Portfolio	No.	12YD

**First Trade Date, December 28, 2022:**

NESF Investment Services, LLC/MBX Capital II LP Fund Segregated Portfolio	No.	12YE
NESF Investment Services, LLC/Prime Movers Fund Segregated Portfolio	No.	12YF
NESF Investment Services, LLC/Signia Venture Partners IV, LP Fund Segregated Portfolio	No.	12YG
NESF Investment Services, LLC/Tiger Global Fund Segregated Portfolio	No.	12YH
NESF Investment Services, LLC/Viking Global Fund Segregated Portfolio	No.	12YJ
Cottonwood Communities, Inc./Cottonwood Communities Inc. 2023 Preferred Equity	No.	12YR

**First Trade Date, December 29, 2022:**

NESF Investment Services, LLC/Traverse Fund IV Segregated Portfolio	No.	12YK
NESF Investment Services, LLC/Escondido Fund II Segregated Portfolio	No.	12YL
NESF Investment Services, LLC/Blank Ventures Fund I Segregated Portfolio	No.	12YM
NESF Investment Services, LLC/Fall Line Altitude Fund, LP Segregated Portfolio	No.	12YN
NESF Investment Services, LLC/Futurum Co-Investment Opportunities Segregated Portfolio	No.	12YO

- The following additional number has been assigned to Empower Life & Annuity Insurance Company of New York (#4736) for the purpose of utilizing Networking, DTCC Payment aXis and Insurance Processing services, as detailed below:

**First Trade Date, December 23, 2022:**

Empower Life & Annuity Insurance Company of New York/PICA No. 3630  
 Contact: Raymond Castro  
 Phone: (303)-737-1343

- The following additional number has been assigned to Empower Annuity Insurance Company of America (#4735) for the purpose of utilizing Networking, DTCC Payment aXis and Insurance Processing services, as detailed below:

**First Trade Date, December 23, 2022:**

Empower Annuity Insurance Company of America/Empower Annuity Insurance Company/PRIAC No. 3631

Contact: Raymond Castro

Phone: (303)-737-1343

- The following additional number has been assigned to Empower Annuity Insurance Company of America (#4735) for the purpose of utilizing Networking, DTCC Payment aXis and Insurance Processing services, as detailed below:

**First Trade Date, December 23, 2022:**

Empower Annuity Insurance Company of America/PICA

No. 3636

Contact: Raymond Castro

Phone: (303)-737-1343

- The following additional number has been assigned to Empower Annuity Insurance Company of America (#4735) for the purpose of utilizing Networking, DTCC Payment aXis and Insurance Processing services, as detailed below:

**First Trade Date, December 23, 2022:**

Empower Annuity Insurance Company of America/ Empower Annuity Insurance Company/CIGNA

No. 3640

Contact: Raymond Castro

Phone: (303)-737-1343

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>		<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>		
<b><u>Delete Last Trade Date, December 20, 2022</u></b>								
Avatar Capital Group LLC	SWST	0279	AVAT				✓	
<b><u>Add First Trade Date, December 22, 2022</u></b>								
Belvedere Trading LLC	SHMR	0695	BTBS	✓				
<b><u>Add First Trade Date, December 27, 2022</u></b>								
Avant-Garde Trading Securities	PFCL	0158	AGTS	✓				
TJM Investments, LLC	RBCD	0235	TJMI		✓	✓		✓

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).