

<b>A#:</b>	9176
<b>P&amp;S #:</b>	8749
<b>Date:</b>	07/01/2022
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will retire from all services, as detailed below:

**Last Trade Date, June 30, 2022:**

Jackson National Life Distributors LLC

No. 4301

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Foreside Financial Services, LLC (#6532) for the purpose of utilizing Fund/SERV, Networking, MF Profile, Omni/SERV Activity & Position, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, July 01, 2022**

Foreside Financial Services, LLC/PPM Funds

No. 4301

Contact: Darren Siverling

Phone: (414) 299-2160

- The following firm will retire from all services, as detailed below:

**Last Trade Date, June 30, 2022:**

Foreside Fund Services, LLC/Chartwell Funds

No. 2548

*In connection with the above account retirement, another member firm will now assume this same number, as detailed below:*

- The following additional number has been assigned to Carillon Fund Distributors, Inc. (#5822) for the purpose of utilizing Fund/SERV, Networking, MF Profile, Omni/SERV Activity & Position and ACATS Fund/SERV services, as detailed below:

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Non-Confidential

**First Trade Date, July 01, 2022**

Carillon Fund Distributors, Inc./Chartwell Funds No. 2548  
Contact: Angie Davis  
Phone: (727) 567-4628

- The following firms will retire from all services, as detailed below:

**Last Trade Date, July 05, 2022:**

Partnersadmin LLC/Caz GP Ownership Class B Fund - TE, L.P. No. 0ZSS  
Partnersadmin LLC/Caz GP Ownership Class D Fund - TE, L.P. No. 0ZST  
Partnersadmin LLC/Caz GP Ownership Class F Fund - TE, L.P. No. 0ZSU

- The following firm will no longer participate in Fund/SERV, MF Profile, Networking, Omni/SERV Activity and position, MF Info Xchange and ACATS Fund/Serv services, as detailed below:

**Last Trade Date, June 29, 2022:**

Delaware Distributors L.P./Ivy Funds No. 4879

- The following firm will change their name only, as detailed below:

**First Trade Date, July 05, 2022:**

From: Partnersadmin LLC/CAZ Professional Sports Ownership Fund I - TE, L.P. No. 0ZSR  
To: Partnersadmin LLC/CAZ Investments LP No. 0ZSR

- The following firm will begin participation in the Omni/SERV Activity & Position service, as detailed below:

**First Trade Date, July 05, 2022:**

Putnam Retail Management Ltd Partnership/Embassy Funds No. 5893

- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, June 30, 2022**

The Parker Transfer Agency, Inc./USED 2022 Drilling Fund LP No. 0ZTP  
The Parker Transfer Agency, Inc./Genesis Drilling Program I LP No. 0ZTQ  
The Parker Transfer Agency, Inc./Genesis Drilling Program II LP No. 0ZTR  
The Parker Transfer Agency, Inc./Genesis Drilling Program III LP No. 0ZTU  
The Parker Transfer Agency, Inc./Genesis Drilling Program IV LP No. 0ZTV

**First Trade Date, July 01, 2022**

SS&C Technologies, Inc./Adit Global Growth, LP No. 0ZTX  
SS&C Technologies, Inc./Adit Global Growth QP, LP No. 0ZTY  
JSQ Administrative Services LLC/CEP Workforce Housing Fund, LLC No. 0ZTW

**First Trade Date, July 05, 2022**

NESF Investment Services, LLC/Griffin Capital Development Partners Fund II, L.P.	No.	0ZU1
Phoenix American Financial Services, Inc./Panther Plus Advisors LLC	No.	0ZU2
Phoenix American Financial Services, Inc./Niagara Plus Income Fund LP	No.	0ZU5
Phoenix American Financial Services, Inc./Niagara Plus Income Feeder LP	No.	0ZU8

**First Trade Date, July 06, 2022**

NAV Consulting Inc/Resonant Absolute Return Offshore Fund, Ltd.	No.	0ZUA
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**First Trade Date, July 07, 2022**

J.P. Morgan Institutional Investments Inc.	No.	0ZOT
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- The following firm will begin participation in OTC Comparison, Obligation Warehouse and ACATS services, as detailed below:

**First Trade Date, July 06, 2022:**

Curvature Securities, LLC	No.	3450
OTC Alpha Identifier		CURV

- The following firm will begin participation in the Insurance Processing service, as detailed below:

**First Trade Date, July 05, 2022:**

Midland National Life Insurance Company/Retireone	No.	3289
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- The following additional number has been assigned to UMB Distribution Services, LLC (#4854) for the purpose of utilizing Fund/SERV and MF Daily Price & Rate services, as detailed below:

**First Trade Date, July 08, 2022:**

UMB Distribution Services, LLC/IDR Investment Management,LLC	No.	3488
Contact: Michael Huisman		
Phone: (414) 299-2242		

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	OTC	<u>Products</u>		
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>OTC/CMU</u>	<u>Corp</u>	<u>Muni</u>
<b><u>Clearing Broker Change, Effective First Trade Date, July 01, 2022</u></b>							
From: Lake Street Capital Markets, LLC	WEDB	0103	LSCM	✓			
To: Lake Street Capital Markets, LLC	RBCD	0235	LSCM	✓			
<b><u>Clearing Broker Change, Effective First Trade Date, July 01, 2022</u></b>							
From: Sagetrader, LLC	LCSI	0512	SAGL	✓			
To: Sagetrader, LLC	CLST	9132	SAGL	✓			
<b><u>Add First Trade Date, June 30, 2022</u></b>							
Iris Trading, Inc.	SWST	0279	IRIS				✓
<b><u>Add First Trade Date, July 01, 2022</u></b>							
Frec Securities LLC	PFCL	0158	FREC	✓			
Lake Street Capital Markets, LLC	RBCD	0235	LSCM		✓	✓	✓
<b><u>Add First Trade Date, July 06, 2022</u></b>							
Curvature Securities, LLC	CURV	3450	CURV	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).