

A#: 9190
P&S# 8763
Date: August 3, 2022
To: ALL MEMBERS AND LIMITED MEMBERS
Attention: MANAGERS; OPERATIONS DEPT FOR ANNUITIES & LIFE INSURANCE,
BROKERAGE OPERATIONS, INFORMATION SYSTEMS
From: NSCC Insurance & Retirement Services
Subject: I&RS 2022 Fall Enhancement Release

Overview:

As part of our ongoing effort to support industry requests, the Insurance and Retirement Services (“I&RS”) has scheduled an enhancement release for November 2022. This is the Fall scheduled enhancement release for 2022. I&RS Enhancement Releases include Review Board Enhancement Requests submitted by members and modifications identified by the Producer Alternative Identifiers subcommittee as well as maintenance items National Securities Clearing Corporation (“NSCC”) deems necessary to improve the overall operational performance of the systems.

The enhancements include the addition of new information fields on current I&RS record layouts to support new features on I&RS products. Modifications include new fields and code values added to existing code lists.

The enhancements in the Fall 2022 release will affect the following services and functionalities:

APP/SUB – Applications and Subsequent Premiums

IFW – In Force Web Transactions

POV – Positions & Valuations

Schedule:

Test – Thursday, October 13, 2022

Production – Thursday, November 10, 2022

Specification Documents:

Documentation is available at the I&RS website, <http://www.dtcc.com/wealth-management-services/insurance-and-retirement-services/technical-support-center>. Click on the Current Release link within the Enhancements section.

All changes on the record layouts are highlighted in yellow. Items may be added to the list below as NSCC may deem advisable.

- 2022 Fall Enhancement Release – Technical Specifications Document
- Draft APP/SUB Record Layouts
- Draft In Force Web Transactions Data Dictionary & Schemas
- Draft POV Record Layouts

Release Specifications:

These changes are based on the recommendations made by the originator of the enhancement request and approved by I&RS.

The enhancement requests can be found at our website under “Current Release” in the Enhancements [section](#) of our website. Do not use the actual enhancement request document for programming. The Draft Record Layouts and 2022 Fall Enhancement Release – Technical Specifications Document should be used for this purpose. We are making the enhancement request documents available to summarize the business purpose for the changes.

For additional information on this enhancement release, please contact your Relationship Manager.

Jovani Munoz
Product Management