



*Important Notice*  
National Securities Clearing Corporation

<b>A#:</b>	9191
<b>P&amp;S #:</b>	8764
<b>Date:</b>	08/05/2022
<b>To:</b>	ALL PARTICIPANTS
<b>Attention:</b>	OPERATIONS PARTNER/OFFICER; MANAGER P&S DEPARTMENT; CASHIER; ACATS PARTICIPANTS; FUND/SERV PARTICIPANTS; MUNICIPAL BOND COMPARISON PARTICIPANTS
<b>From:</b>	ACCOUNT ADMINISTRATION
<b>Subject:</b>	CHANGES IN THE LIST OF PARTICIPANTS

The following update from Important Notice A#9186 (P&S#8759) dated July 29, 2022 has been revised as follows:

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>OTC</u>	<u>Products OTC/CMU</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>		<u>Corp</u>	<u>Muni</u>		
<b><u>Add First Trade Date, August 08, 2022</u></b>								
Bancolumbia Capital LLC	PERS	0443	BCKL		✓			

- The following firm will retire from all services, as detailed below:

**Last Trade Date, August 05, 2022:**

Electronic Transaction Clearing, Inc./House No. 3821

**Last Trade Date, August 08, 2022:**

State Street Bank & Trust Company/VOYA Institutional Plan Services LLC/Nestle USA Inc. No. 3228

**Last Trade Date, August 10, 2022:**

Foreside Financial Services, LLC/Phaeacian Funds No. 1964

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- The following firm will no longer participate in the Trade Comparison, Trade Recording, NYSE Listed and Obligation Warehouse services, as detailed below:

**Last Trade Date, August 15, 2022**

Davenport & Company LLC	No.	0715
NYSE Alpha Identifier	DPT	

- The following firm will begin participating in the MF Profile service, as detailed below:

**First Trade Date, August 04, 2022:**

Quasar Distributors, LLC/Mar Vista	No.	3510
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- The following firms will begin participating in the MF Info Xchange service, as detailed below:

**First Trade Date, August 09, 2022:**

Foreside Fund Services, LLC/Variant Alternative Income Fund	No.	3666
Foreside Financial Services, LLC/Highland Funds	No.	5979

- The following firm will begin participation in Networking and DTCC Payment aXis services, as detailed below:

**First Trade Date, August 16, 2022**

Davenport & Company LLC	No.	0715
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- The following firms will begin participation in the Alternative Investment Products service, as detailed below:

**First Trade Date, August 05, 2022**

Apollo Global Real Estate Management, L.P.	No.	0ZTM
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**First Trade Date, August 09, 2022**

ARES Diversified Real Estate Exchange LLC/ADREX Multifamily I DST	No.	0ZVA
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- The following firms will begin participation in Insurance Processing services, as detailed below:

**First Trade Date, August 05, 2022:**

DPL Financial Partners, LLC	No.	1859
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**First Trade Date, August 08, 2022:**

CWM, LLC	No.	2371
Signal Advisors Insurance, LLC	No.	2647

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, MF Profile and ACATS Fund/SERV services, as detailed below:

**First Trade Date, August 08, 2022:**

Ultimus Fund Distributors, LLC/LindFunds

No. 3537

Contact: Chris Baird

Phone: (402) 578-0172

- The following additional number has been assigned to Ultimus Fund Distributors, LLC (#5837) for the purpose of utilizing Fund/SERV, Networking, MF Profile, Omni/SERV Activity & Position, DTCC Payment aXis and ACATS Fund/SERV services, as detailed below:

**First Trade Date, September 01, 2022:**

Ultimus Fund Distributors, LLC/Cantor Select Funds

No. 3538

Contact: Chris Baird

Phone: (402) 578-0172

- Updates to the NSCC MPID Directory for OTC, Corporates, Municipals, and UITs, as detailed below:

	<u>Clearing Broker</u>		<u>Executing Broker</u>	<u>Products</u>			<u>UIT</u>
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>OTC</u>	<u>Corp</u>	<u>Muni</u>	
<b><u>Add First Trade Date, August 02, 2022</u></b>							
Crito Capital LLC	QNTX	0294	CRIT	✓			
Velocity Clearing, LLC	QNTX	0294	VLFX	✓			
<b><u>Add First Trade Date, August 08, 2022</u></b>							
Velocity Clearing, LLC	QNTX	0294	VCLT	✓			
Driveloyalty	DRIV	2402	DWLY	✓			
Navy Federal Investment Services, LLC	DRIV	2402	NFBS	✓			
Passfolio Securities, LLC	DRIV	2402	PASF	✓			
Revolut Securities Inc.	DRIV	2402	REVO	✓			
Northbound Securities LLC	DRIV	2402	SPFI	✓			
Cash App Investing LLC	DRIV	2402	TPTG	✓			
VF Securities	DRIV	2402	VFSI	✓			

If you have any questions regarding this notice, please E-mail [NSCCAA@DTCC.com](mailto:NSCCAA@DTCC.com).