



Important Notice
The Depository Trust Company

B #:	17176-22
Date:	September 22, 2022
To:	All Participants
Category:	Tax Relief, Distributions
From:	International Services
Attention:	Operations, Reorg & Dividend Managers, Partners & Cashiers
Subject:	<u>Tax Relief</u> – Country: South Africa <u>Security:</u> Harmony Gold Mining Company Limited <u>CUSIP:</u> 413216300 <u>Record Date:</u> 10/14/2022 <u>Payable Date:</u> 10/24/2022

Holders of Depository Receipts (DRs) of **Harmony Gold Mining Company Limited** may be eligible to receive favorable or reduced withholding tax relief.

*****NOT CA WEB ELIGIBLE*****

Questions regarding this Important Notice may be directed GlobeTax 212-747-9100.

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HARMONY GOLD MINING COMPANY LIMITED has announced a cash dividend and Deutsche Bank acts as Depository for the Depository Receipt (“DR”) program.

As outlined in the Eligibility Matrix below, all holders will receive this dividend net of the full South African withholding tax rate of 20% with the possibility to reclaim through the Quick Refund or Long Form process.

PLEASE NOTE:

- **A QUICK REFUND PROCESS IS BEING OFFERED FOR THIS EVENT**
- **ALL CLAIM DOCUMENTS CAN BE SCANNED AND SENT TO SouthAfricaESP@globetax.com; HARDCOPY DOCUMENTS ARE NOT REQUIRED FOR THIS MARKET**

DIVIDEND EVENT MATRIX

ISSUE	CUSIP#	UNDERLYING ISIN	DR RECORD DATE	ORD PAY DATE	DR PAY DATE	RATIO (DR to ORD)	ORD RATE
HARMONY GOLD MINING COMPANY LIMITED	413216300	ZAE000015228	10/14/2022	10/17/2022	10/24/2022	1:1	ZAR 0.22

FEES & DEADLINES

FILING METHOD	BATCH	PAYMENT METHOD	CUSTODIAL FEE	DSC FEE	MINIMUM FEE PER BENEFICIAL OWNER	FINAL SUBMISSION DEADLINE (ESP)
QUICK REFUND	POST PAY DATE	CHECK OR ACH	ZAR 250	UP TO \$0.009375 PER DR	USD \$25.00	11/07/2022
LONG FORM	POST PAY DATE; ONGOING	CHECK OR ACH	ZAR 500	UP TO \$0.0125 PER DR	USD \$25.00	09/05/2025

MINIMUM SHARE AMOUNT REQUIRED TO FILE A QUICK REFUND CLAIM (CLAIMS RECEIVED BELOW THE FILING MINIMUM WILL BE REJECTED)

BENEFICIAL OWNER'S WITHHOLDING TAX RATE OF ENTITLEMENT	RATE OF RECLAIM	MINIMUM # OF DRs REQUIRED TO FILE (BASED OFF AN APPROX. EXCHANGE RATE USD/ZAR)
15.00%	5.00%	101,600 DRs MINIMUM
10.00%	10.00%	50,800 DRs MINIMUM
5.00%	15.00%	33,870 DRs MINIMUM
0.00%	20.00%	25,400 DRs MINIMUM

Agreements, Fees, Representations and Indemnification from Participants and Beneficial Owners

I / We certify that to the best of my knowledge the individual beneficial owners are eligible for the preferential rates as stated herein and I declare that I have performed all the necessary due diligence to satisfy myself as to the accuracy of the information submitted to me by these beneficial owners.

In consideration of the services provided by Deutsche Bank Trust Company Americas contemplated hereby and the assistance provided by the issuer of the shares underlying the ADRs (the "Issuer"), we agree to indemnify, defend, hold and save Deutsche Bank Trust Company Americas, the Issuer and their respective affiliates, and the respective directors, offices, agents and employees of Deutsche Bank Trust Company Americas, the Issuer and each of their affiliates from and against any and all costs, damages or liabilities (including, without limitation, tax payments, interest charges, penalties or other costs assessed by relevant tax and administrative authorities, and the reasonable fees and disbursements of counsel), and any of them, arising out of or relating to the information provided by the undersigned in, or in connection with, this document.

ELIGIBILITY MATRIX

Note: All information related to eligibility and documentation requirements can be found on the [ESP](#) site by visiting the relevant event and viewing the Eligibility Matrix. If you need access to ESP, please complete the [New User Registration](#) form, and our team of market experts would be pleased to help you get set up.

For each Country's general Eligibility Matrix, please see ESP's [Reference Guide](#).

ESP



Deutsche Bank Trust Company Americas offers ESP powered by GlobeTax, an electronic withholding tax submission system. This system allows for the secure and simplified transfer of beneficial owner level data from the Participant to Deutsche Bank Trust Company Americas and creates applicable documentation on the Participants behalf.

Submit the data online through the web site below, print out the documents on letterhead, sign them, and mail them to GlobeTax, along with the necessary required documents.

These claims should be submitted through the following web site.

<https://ESP.GlobeTax.com>

Please contact us via the [ESP Service Desk](#) or 212-747-9100 if you have any questions about this process.

CONTACT DETAILS

DOMESTIC PHONE (U.S.)	1-800-876-0959
DOMESTIC FAX (U.S.)	1-800-888-1120
INTERNATIONAL PHONE	1-212-747-9100
INTERNATIONAL FAX	1-212-747-0029
GROUP EMAIL	SOUTHAFRICAESP@GLOBETAX.COM
COMPANY	GLOBETAX SERVICES INC.
STREET ADDRESS	ONE NEW YORK PLAZA, 34 TH FLOOR
CITY/STATE/ZIP	NEW YORK, NY 10004

FREQUENTLY ASKED QUESTIONS (FAQs)

Where do I send the documentation?	Scanned paper may be sent to SOUTHAFRICAESP@GLOBETAX.COM
When is the deadline?	Relevant deadlines are listed on Page 2 under fees and deadlines.
I am a custodian/broker, can I sign the dividend tax form on behalf of the investor?	Yes, as long as a valid power of attorney is also enclosed.
How do I know if my client qualifies for an exemption or reduced tax based on percentage of ownership?	The investor will have to consult with their tax advisor.
Does the beneficiary's name on the dividend tax form need to match the POA?	Yes, the beneficiary's name on both documents should be identical
Will GlobeTax accept claims filed directly to them by beneficial owners?	GlobeTax only accepts claims filed by the DTC participant who was holding the securities through DTC and only the extent that DTC has reported these holdings to us as valid record date holdings. Beneficial owners are required to file their claims through the custody chain to the DTC participant of record. All claims not received directly from the DTC participant will be returned to the beneficial owner.
Do the Quick Refund and Long Form processes have a minimum position requirement per Beneficial Holder?	No, there is a minimum fee of \$25.00 per Beneficial Holder which may be waived on an exceptional basis for clients reclaiming less than \$50. In such instances, a fee of 50% of the reclaimed amount will be applied.
What is the statute of limitations for filing South African reclaims?	It is three (3) years from the local market pay date. Claims received after our submission deadline will be filed in good faith.
Is the process offered by Deutsche Bank Trust Company Americas an optional process?	Yes, this is a discretionary, optional service. However, in the South African market, the South African custodians will not accept claims from anyone except those processed through Deutsche Bank Trust Company Americas.

Warning and Disclaimer:

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