



Important Notice

Fixed Income Clearing Corporation - GOV

GOV #:	GOV1424-23
Date:	January 30, 2023
To:	Government Securities Division Netting Members
Category:	Service Updates
Subject:	Submission of Rule Filing SR-FICC-2023-001 Amend the Clearing Agency Risk Management Framework to update the description of the dashboards used by the Clearing Agencies as internal performance management tools and make other clarifying changes.

On January 30, 2023, Fixed Income Clearing Corporation (“FICC”) filed a proposed rule change (SR-FICC-2023-001) (“Filing”) with the Securities and Exchange Commission (“SEC”) pursuant to Section 19(b)(3)(A) of the Securities Exchange Act of 1934, as amended, to amend the Clearing Agency Risk Management Framework (“Framework”).

The proposed rule change would amend the Framework to (1) update the description of the dashboards used by the Clearing Agencies as internal performance management tools to measure the effectiveness of their various operations, and (2) clarify and revise the descriptions of certain matters within the Framework and correct errors in those descriptions, as further described in the Filing.

The full text of the Filing may be obtained by visiting the DTCC website at www.dtcc.com/legal/sec-rule-filings.aspx. Written comments on the Filing may be addressed to Fixed Income Clearing Corporation, c/o General Counsel’s Office, 55 Water Street, New York, New York 10041 and your comments will be forwarded to the SEC.

You may also address your written comments to the Secretary, Securities and Exchange Commission, 100 F Street NE, Washington DC 20549. Electronic comments may be submitted by using the SEC’s Internet comment form (<http://www.sec.gov/rules/sro.shtml>); or by sending an email to rule-comments@sec.gov. Please include File Number SR-FICC-2023-001 on the subject line. We request that you provide FICC with a copy of your comments.

Questions regarding this Important Notice may be addressed to your Relationship Manager. Questions regarding this Filing may be addressed to RuleFilingAdmin@dtcc.com.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit http://www.dtcc.com/subscription_form.php.

Non-Confidential