



<b>B #:</b>	19103-23
<b>Date:</b>	October 13, 2023
<b>To:</b>	Participants
<b>Category:</b>	Securities Processing Service Update
<b>From:</b>	DTC Asset Services Product Management Team
<b>Attention:</b>	Officers / Operations Managers / Operations Users / Business Continuity Managers
<b>Subject:</b>	User Roles Required for Testing and Production Readiness for DWAC Transactions Processing and Physical Inventory Update processing for the new Securities Processing Application (SPA)

The Depository Trust Company (DTC) is continuing its Securities Processing Modernization Program. The multi-year, multi-phase program, which began with FAST Balancing, is proceeding with modernized DWAC transaction processing and DWAC centralized billing, through the DTC Securities Processing Application (“SPA”) System.

Participants currently use the PDWC/Part Direct Deposit/Withdrawal functions through PTS/PBS for DWAC transactions. This functionality will be available shortly on the SPA system, which is accessible through the DTCC Portal at MyDTCC.com.

In addition, Participants that utilize DTC’s Non-Cede Custody Service (an optional service), the Customer Account Update function will now be available on the SPA system.

**All Participants must provision their Users to use the new DWAC Transactions and Physical Inventory Update functions (as applicable) on the SPA System by November 30, 2023, in preparation for the testing period and in anticipation of the retirement of the DWAC functionality on PTS/PBS targeted for March 2024.**

Users’ access and entitlements to SPA will require provisioning through their respective Super Access Coordinator (SAC). SACs can send an email to [DTCCIntegration@dtcc.com](mailto:DTCCIntegration@dtcc.com) should they have questions regarding provisioning access via the DTCC Portal within the Customer Registration System (CRS).

The following entitlements are available in the new SPA (see chart below for details)

- SPA DWAC Participant Inquiry
- SPA DWAC Participant Update
- SPA DWAC Participant Inquiry API
- SPA DWAC Participant Update API
- SPA Participant Physical Inventory Update

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**SACs should provision access for their Users (Operators) to both DTC’s PSE (Test) and Production regions**

New SPA Role	SPA Description	SPA Navigation	PTS Role	PBS Role
SPA DWAC Participant Inquiry	Users that request this role will be allowed to perform Inquiry of DWAC instructions/transactions and view reports.	MyDTCC.com>Securities Processing Application>Transactions>DWAC>Inquiry or Pending Transactions	PDWC	Part Direct Deposit/Withdrawal
SPA DWAC Participant Update	Users that request this role will be allowed to Create Instructions for DWAC transactions.	MyDTCC.com>Securities Processing Application>Transactions>DWAC>Create Instructions	PDWC	Part Direct Deposit/Withdrawal
SPA DWAC Participant Inquiry API	This role allows a Participant Robot Persona to perform DWAC inquiries via APIs within the Securities Processing application.	New Real-Time B2B APIs using DTCC API Marketplace *	N/A	N/A
SPA DWAC Participant Update API	This role allows a Participant Robot Persona to perform DWAC updates (including create or cancel instructions) via APIs within the Securities Processing application.	New Real-Time B2B APIs using DTCC API Marketplace *	N/A	N/A
SPA Participants Physical Inventory Update	This role is for All External users to access the SPA portal for performing Physical Inventory Customer Account Update - Single Certificate.	MyDTCC.com>Securities Processing Application>Inventory>Physical Inventory>Maintenance>Edit Customer Account	CUST (Option 7)	Custody Services (Option 7), #2 - Customer Account Update - Single Certificate

\* DTC’s API solution serves as the replacement for current CCF Batch Files, providing a modernized real-time processing solution. While current CCF Batch Files will be supported for an extended period in the new SPA system, without any programming changes required by subscribers, eventually the CCF Batch Files, will be retired. DTC will provide ample notice to subscribers so that firms can plan for this change, which will require some system programming changes by subscribing firms to adopt the API solution. **All users of CCF Batch Files should note that they are not “real-time” and run-in batches. In particular, the shortening of the Settlement Cycle to T+1 may create a need for “real-time” processing. Therefore, clients who wish to see their DWAC transactions processed in real-time should migrate to the API by April 2024.**

Please direct any questions you may have to your Relationship Manager.