



## *Important Notice*

### **National Securities Clearing Corporation**

<b>P&amp;S #:</b>	8934
<b>A#:</b>	9361
<b>Date:</b>	November 28, 2023
<b>To:</b>	All Participants
<b>Attention:</b>	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
<b>From:</b>	NSCC Operations
<b>Subject:</b>	Holiday Schedule: Christmas 2023

National Securities Clearing Corporation will be closed on Monday, December 25, 2023, in observance of Christmas Day. The following changes in NSCC's operations and schedules will be in effect:

#### **COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITs (CMU) for trade date Friday, December 22, 2023, will be accepted no later than 8:00 pm on Friday, December 22, 2023.

#### **TRADE CAPTURE AND REPORTING**

No UTC processing will take place on Monday, December 25, 2023.

#### **SECURITIES FINANCING TRANSACTION (SFT)**

There will be no settlement for SFT activity and no new SFTs or Roll messages accepted by UTC on Monday, December 25, 2023.

#### **CONTINUOUS NET SETTLEMENT (CNS)**

There will be no CNS settlement on Monday December 25, 2023. Seg. Exemptions and Priority Requests for settlement of Tuesday, December 26, 2023, will be accepted on Friday evening, December 22, 2023.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Christmas Day processing to determine their schedules in effect.

#### **CENTRAL DELIVERY AND SETTLEMENT**

Delivery Services or Settlement Operations will not be provided on Monday, December 25, 2023.

#### **INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, December 22, 2023, for settlement Tuesday, December 26, 2023.

#### **AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)**

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

No ACATS activity will be accepted on Monday, December 25, 2023.

**ACATS - FUND/SERV® INTERFACE**

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, December 25, 2023.

**ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, December 25, 2023.

**OBLIGATION WAREHOUSE (OW)**

OW Web UI will be available Monday, December 25, 2023 for business date Tuesday December 26, 2023. There will be no batch or report output generated for OW on Monday December 25, 2023.. Message input also will be accepted and processed on Monday, December 25, 2023 for the business date Tuesday, December 26, 2023. Normal processing will resume for business day Tuesday, December 26, 2023.

**FUND/SERV®**

No Fund/SERV® activity will be accepted on Monday, December 25, 2023.

**DTCC Payment aXis®.**

No DTCC Payment aXis® activity will be accepted on Monday, December 25, 2023.

**NETWORKING & OMNI/SERV®**

No Networking or Omni/SERV® activity will be accepted on Monday, December 25, 2023.

**MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II - SECURITY AND DISTRIBUTION)**

No MFPS I or MFPS II activity will be accepted on Monday, December 25, 2023.

**MF INFO XCHANGE**

Mutual Fund Info Xchange activity will be accepted on Monday, December 25, 2023.

**ALTERNATIVE INVESTMENT PRODUCTS (AIP)**

AIP will be closed for transactions, settlement files and money settlement on Monday, December 25, 2023.

**INSURANCE PROCESSING**

Transmissions for all products will be accepted and processed on Monday, December 25, 2023. Output will be produced for all non-money settlement (excluding Funds Transfer Day 2 and ACATS/REP/BIN) product output on Monday, December 25, 2023. All settlement product output (including Funds Transfer Day 2 output and ACATS/REP/BIN) will be transmitted on the regular business day Tuesday, December 26, 2023.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or to the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.