



## *Important Notice*

### **National Securities Clearing Corporation**

<b>P&amp;S #:</b>	8937
<b>A#:</b>	9364
<b>Date:</b>	December 5, 2023
<b>To:</b>	All Participants
<b>Attention:</b>	Managing Partner/Officer, P&S Manager, Cashier Manager, Data Processing Manager, Fund/SERV Participants
<b>From:</b>	NSCC Operations
<b>Subject:</b>	Holiday Schedule: New Year's Day

National Securities Clearing Corporation will be closed on Monday, January 1, 2024, in observance of New Year's Day. The following changes in NSCC's operations and schedules will be in effect:

#### **COMPARISON SYSTEMS**

Trade input for the Real Time Transaction System (RTTM) for Corporates, Municipals and UITs (CMU) for trade date Friday, December 29, 2023, will be accepted no later than 8:00 pm on Friday, December 29, 2023.

#### **TRADE CAPTURE AND REPORTING**

No UTC processing will take place on Monday, January 1, 2024.

#### **SECURITIES FINANCING TRANSACTION (SFT)**

There will be no settlement for SFT activity and no new SFTs or Roll messages accepted by UTC on Monday, January 1, 2024.

#### **CONTINUOUS NET SETTLEMENT (CNS)**

There will be no CNS settlement on Monday January 1, 2024. Seg. Exemptions and Priority Requests for settlement of Tuesday, January 2, 2024, will be accepted on Friday evening, December 29, 2023.

The Seg. Exemptions referred to in this important notice is for an exemption instruction processed by a Member to NSCC that overrides their CNS standing instruction on the NSCC master file. For Members who use DTC's Inventory Management System (IMS) to process exemptions, please see DTC's important notice schedule regarding Christmas Day processing to determine their schedules in effect.

#### **CENTRAL DELIVERY AND SETTLEMENT**

Delivery Services or Settlement Operations will not be provided on Monday, January 1, 2024.

#### **INTER-CITY DELIVERIES**

Inter-City Deliveries will be accepted on Friday, December 29, 2023, for settlement Tuesday, January 2, 2024.

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS alerts, visit [http://www.dtcc.com/subscription\\_form.php](http://www.dtcc.com/subscription_form.php).

## **AUTOMATED CUSTOMER ACCOUNT TRANSFER SERVICE (ACATS)**

No ACATS activity will be accepted on Monday, January 1, 2024.

## **ACATS - FUND/SERV® INTERFACE**

No ACATS-Fund/SERV® Interface activity will be accepted on Monday, January 1, 2024.

## **ACATS/IPS**

No ACATS/IPS Interface activity will be accepted on Monday, January 1, 2024.

## **OBLIGATION WAREHOUSE (OW)**

OW Web UI will be available Monday, January 1, 2024 for business date Tuesday January 2, 2024. There will be no batch or report output generated for OW on Monday January 1, 2024.

Message input also will be accepted and processed on Monday, January 1, 2024 for the business date Tuesday, January 2, 2024. Normal processing will resume for business day Tuesday, January 1, 2024.

## **FUND/SERV®**

No Fund/SERV® activity will be accepted on Monday, January 1, 2024.

## **DTCC Payment aXis®.**

No DTCC Payment aXis® activity will be accepted on Monday, January 1, 2024.

## **NETWORKING & OMNI/SERV®**

No Networking or Omni/SERV® activity will be accepted on Monday, January 1, 2024.

## **MUTUAL FUND PROFILE SERVICE (MFPS I – PRICE AND RATE AND MFPS II - SECURITY AND DISTRIBUTION)**

No MFPS I or MFPS II activity will be accepted on January 1, 2024.

## **MF INFO XCHANGE**

Mutual Fund Info Xchange activity will be accepted on Monday, January 1, 2024.

## **ALTERNATIVE INVESTMENT PRODUCTS (AIP)**

AIP will be closed for transactions, settlement files and money settlement on Monday, January 1, 2024.

## **INSURANCE PROCESSING**

Transmissions for all products will be accepted and processed on Monday, January 1, 2024. Output will be produced for all non-money settlement (excluding In Force Day 2 Confirmations and ACATS/REP/BIN) product output on Monday, January 1, 2024. All settlement product output (including In Force Day 2 Confirmations and ACATS/REP/BIN) will be transmitted on the regular business day Tuesday, January 2, 2024.

Any questions regarding this notice can be directed to your DTCC Relationship Manager, Account Manager or to the DTCC Client Support Line 888-382-2721 Option 5 then appropriate Product selection. Thank you.