

A#:	9257
P&S:	8830
Date:	February 22, 2023
То:	ALL MEMBERS AND LIMITED MEMBERS
From:	WEALTH MANAGEMENT SERVICES – MUTUAL FUNDS
Attention:	MANAGER MUTUAL FUNDS OPERATIONS, MANAGER DATA PROCESSING, MANAGER CASHIERS, MANAGER P&S DEPARTMENT
Subject:	MF Info Xchange Service Enhancements

Effective March 10, 2023, National Securities Clearing Corporation (NSCC) will implement additional enhancements and capabilities to MF Info Xchange – the first communications center of its kind from DTCC's Wealth Management Services. MF Info Xchange facilitates and streamlines the delivery and receipt of time-critical notices and helps to effectively organize, prioritize and manage events and notifications through a centralized communications service and event calendar - never miss an event again!

As part of this release, NSCC will enhance MF Info Xchange as follows:

1) Notification Cloning Functionality

In order to further minimize keystrokes and reduce risks associated with manual entry, MF Info Xchange portal will be enhanced with notification cloning capabilities. A new "Clone Notification" icon will be added to all notifications.



Clicking on this icon will create a copy of the notification where <u>all</u> data elements (with the exception of the "Event Status" field) from the original notification will be carried over to the new/cloned notification.

"Event Status" field of the cloned notification will be automatically set by the system to "Initial Notification".

DTCC offers enhanced access to all important notices via a Web-based subscription service. The notification system leverages RSS Newsfeeds, providing significant benefits including Real-time updates and customizable delivery. To learn more and to set up your own DTCC RSS Alerts, visit http://www.dtcc.com/subscription_form.php.

Note: if the original notification was cancelled by the submitter (Notification "Event Status" = Cancelled), Cancellation Reason will not be copied over to the new/cloned notification.

Clients are advised to review the cloned notification and make any necessary revisions prior to distribution.

2) "Dividend/Capital Gain Schedule" Notification – notification email improvements

<u>Current Functionality</u>

Under the current design, when the "Dividend/Capital Gain Distribution Schedule" table is populated with the impacted funds, the email generated to the receivers of the notification lists these funds in the "Impacted Fund Name(s)" section. However, when the "Dividend Distribution Frequency Changes" table is populated with the impacted funds, these funds are not listed in the "Impacted Fund Name(s)" section of the email.

From: MF Info Xchange Notification PSE <MFIX_Notifications_PSE@dtcc.com>

Sent: Tuesday, January 3, 2023 1:59 PM

Subject: ABC FUNDS (NSCC Participant Number 00005119) - Dividend/Capital Gain Schedule (Event ID 10793) - Initial Notification (For NSCC use only: 6818)

PLEASE DO NOT REPLY TO THIS EMAIL AS THIS IS NOT A MONITORED MAILBOX. Questions regarding this notification should be directed to ABC FUNDS

Fund Company Name: ABC FUNDS
NSCC Participant Number: 00005119
Event Type: Dividend/Capital Gain Schedule
Event ID (system generated ID number): 10793

Event Status: Initial Notification

Effective Date: N/A Effective Time: N/A

Impacted Fund Name(s): Not Provided

This notification is sent to you by ABC FUNDS, through NSCC MF Info Xchange. Please see attached document for more details.

Enhanced Functionality

In order to further streamline notification emails, "Impacted Fund Name(s)" section of the email will list the funds provided in the "Dividend Distribution Frequency Changes" table.

From: MF Info Xchange Notification QA <MFIX_Notifications_QA@dtcc.com>

Sent: Friday, January 13, 2023 8:54 AM

Subject: ABC FUNDS (NSCC Participant Number 00005119) - Dividend/Capital Gain Schedule (Event ID 74240) - Initial Notification (For NSCC use only: 63993)

PLEASE DO NOT REPLY TO THIS EMAIL AS THIS IS NOT A MONITORED MAILBOX. Questions regarding this notification should be directed to ABC FUNDS

Fund Company Name: ABC FUNDS

NSCC Participant Number: 00005119

Event Type: Dividend/Capital Gain Schedule

Event ID (system generated ID number): 74240

Event Status: Initial Notification

Effective Date: N/A
Effective Time: N/A

Impacted Fund Name(s): TEST1 INCOME FUND, TEST3 INCOME FUND, TEST2 INCOME FUND

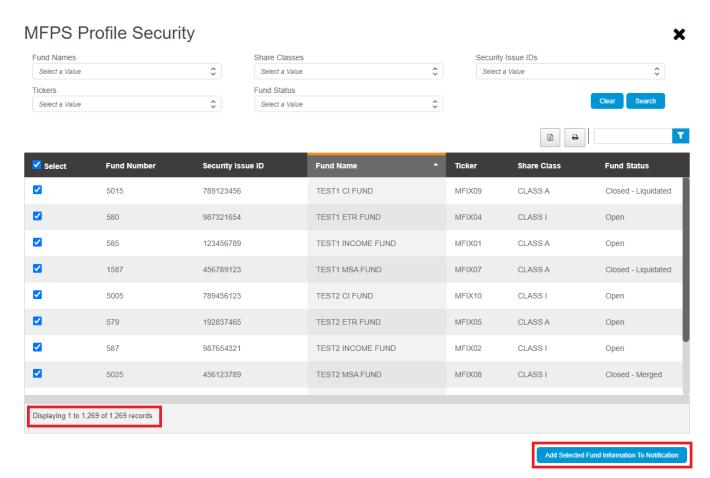
This notification is sent to you by ABC FUNDS, through NSCC MF Info Xchange. Please see attached document for more details.

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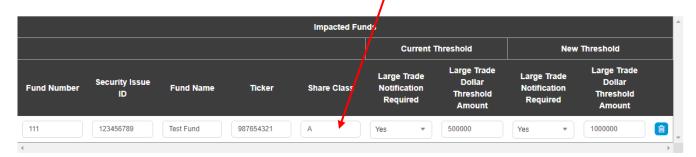
3) "Large Trade Threshold Change" notification – performance improvements

In order to further improve the client experience, UI performance enhancements have been made to Step 2 of the "Large Trade Threshold Change" event notification. When selecting data from Profile Security, the expected time to load the data into the event notification has been drastically reduced and will take just seconds to load!

This improved performance will allow clients to add all or a large number of Security Issue IDs from Profile Security into the "Large Trade Threshold Change" notification within seconds.



<u>Note:</u> In order to improve UI performance, the "Impacted Funds" table of the "Large Trade Threshold Change" notification will no longer show "text boxes" for each data element listed on the screen.



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The values will appear without a text box. Clicking on the cell/value will open an editable pop-up text box where clients can enter/update the value.



4) "US Holiday Announcement" notification – Juneteenth holiday

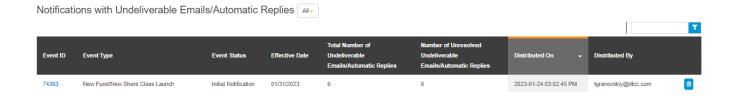
In order to allow clients to communicate any potential operational holiday considerations for the Juneteenth holiday, a new "Juneteenth" drop-down option will be added to the "Holiday" field. Fund clients will select "Juneteenth" holiday when sending operational announcements for this holiday.



5) Undeliverable Emails/Automatic Replies

Current functionality

Under the current design, when an event notification distribution results in undeliverable emails and/or automatic replies (e.g., out of the office responses), these emails are tracked on the notification submitter's dashboard. Clients can review all returned emails and take the necessary actions. If the notification is subsequently redistributed ("Redistribute to All" or "Redistribute to New" functionality) – any additional responses/returned emails will be added to the existing dashboard entry that captured emails returned after this notification was distributed ("Distribute" functionality).



Enhanced functionality

In order to provide additional transparency into the automatic email responses received after each distribution of a notification, a new entry will be made for each Distribute/Redistribute to All/Redistribute to New activity if that distribution resulted in returned emails.

Notifications with Undeliverable Emails/Automatic Replies Distributed last 7 days -



<u>Note:</u> the existing functionality where a new distribution ("Distribute" functionality) of the notification replaces the previous entry in the dashboard (in the event the new distribution resulted in a receipt of undeliverable/auto response emails), will remain in place. All previous entries for this notification will be replaced by a new entry corresponding to the new distribution ("Distribute" functionality) of this Event ID.

PLEASE NOTE: In order to perform code migration, MF Info Xchange will <u>not</u> be available in production on March 9, 2023 between 8pm-10pm ET.

TESTING

Effective February 24, 2023, clients can begin testing in the Participant Services Environment (PSE). Clients are encouraged to test and must contact their Relationship Manager before testing begins if access to PSE has not been previously established.

DOCUMENTATION

Updated documentation will be available on the DTCC Learning website on or about March 31, 2023.

Clients can find more information on MF Info Xchange at http://www.dtcc.com/wealth-management-services/mf-info-xchange

Yana Granovskiy Product Manager

DTCC Wealth Management Services